The Two Countermajoritarian Difficulties

Or Bassok
Yale Law School, or.bassok@yale.edu

Follow this and additional works at: https://scholarship.law.slu.edu/plr

Part of the Law Commons

Recommended Citation
Available at: https://scholarship.law.slu.edu/plr/vol31/iss2/5

This Article is brought to you for free and open access by Scholarship Commons. It has been accepted for inclusion in Saint Louis University Public Law Review by an authorized editor of Scholarship Commons. For more information, please contact erika.cohn@slu.edu, ingah.davis.crawford@slu.edu.
THE TWO COUNTERMAJORITARIAN DIFFICULTIES

OR BASSOK*

ABSTRACT

In recent years, the counter-majoritarian difficulty has split into two. According to its traditional version, the difficulty arises when unaccountable Justices strike down statutes passed by electorally accountable branches of government. According to the newer, literal version, the difficulty arises when Justices strike down statutes that are supported by the majority according to public opinion polls. By explicating the difference between the two versions of the difficulty, I expose the deep influence of public opinion polls on American constitutional thought. For many years, scholars conflated the two difficulties under one banner and offered normative justifications for the Court’s counter-majoritarian authority. In recent years, many constitutional theorists, oriented toward social science, attempt to dissolve the literal counter-majoritarian difficulty by showing that the Court is not countering the majority will but following it. I further demonstrate that the distinction helps to explain four additional issues of constitutional theory. First, this distinction explains the connection between the counter-majoritarian difficulty and the “passive virtues” technique that Alexander Bickel devised for the Court. Second, it exposes the importance of the distinction between cases that the media covers and non-visible cases. Third, it sheds new light on the basis of the Court’s power. Finally, this distinction is crucial for a better understanding of a puzzle that stands at the heart of the rise of judicial power worldwide.

* Robina Foundation Visiting Human Rights Fellow, Yale Law School; LL.M, Yale Law School; LL.M & LL.B, Hebrew University of Jerusalem. I am extremely grateful to Bruce Ackerman, Jack Balkin, Paul Kahn and Robert Post for many illuminating discussions and thoughtful comments. I wish also to thank Kiel Brennan-Marquez, Robert Burt, Yoav Dotan, Noam Y. Finger, James E. Fowkes, Alon Harel, Shay Levi, Han Liu, Lucas Mac-Clure, Itamar J. Mann-Kanowitz, Fernando L. Munoz, Erin L. Miller, Iddo Porat, and participants at the 2011 Yale Law School Doctoral Scholarship Conference and the College of Management Law School faculty workshop for helpful comments on earlier drafts. All mistakes are my own.
# Table of Contents

## INTRODUCTION ................................................................. 335

## I. DISTINGUISHING BETWEEN THE TWO CM DIFFICULTIES ................. 337
   A. Historical Background .................................................. 337
   B. Conceptual Analysis ..................................................... 339

## II. JUSTIFYING THE COURT’S CM AUTHORITY .................................... 343
   A. Rights-Based Arguments .................................................. 344
   B. Process-Based Arguments ................................................. 345
   C. Dworkin’s Empire ......................................................... 346
   D. Textualism and Originalism .............................................. 347
   E. Ackerman’s Dualist Model ............................................... 348

## III. DISSOLVING THE CM DIFFICULTY IN ITS LITERAL SENSE .................... 350
   A. Robert Dahl Plants the Seeds for Dissolving the Literal CM Difficulty .......... 350
   B. Dissolution Mechanisms .................................................. 352
      1. The Appointment Process ............................................. 352
      2. Strategic Behavior and Attitudinal Change .......................... 354
      3. Backlash Theories ...................................................... 355
      4. Public Opinion as a Legitimate Legal Argument .................. 357
   C. What is Left of the CM Difficulty? .................................... 358

## IV. BICKEL AND THE TWO CM DIFFICULTIES .................................... 362

## V. VISIBLE CASES ...................................................................... 367

## VI. JUDGMENT VS. PUBLIC CONFIDENCE .......................................... 368

## VII. THE CM DIFFICULTY IN ITS LITERAL SENSE GOES ABROAD ............. 376

## CONCLUSION: THE TWO CM DIFFICULTIES IN THE TWENTY-FIRST CENTURY ........................................................................ 380
INTRODUCTION

The countermajoritarian (hereinafter “CM”) difficulty is changing. Long before Alexander Bickel conceptualized the problem and gave it its catchy name, the tension between constitutionalism and democracy was understood to arise from the authority of unaccountable judges to invalidate legislation enacted by electorally accountable representatives. This conceptualization of the CM difficulty, to which I refer as “traditional,” has obsessed American legal academia for decades. The main tactic for tackling it has been to propose justifications for the Court’s CM authority.

Following the invention of scientific public opinion polls and especially with the rise of “public-opinion culture,” a second understanding of the CM difficulty has emerged. According to this literal understanding, the difficulty arises when the Court decides to invalidate legislation that enjoys the support of the majority of the population, as captured by public opinion polls before the decision or shortly thereafter. The “literal” nature of this formulation of the difficulty is embedded in the premise that “majority will” is scientifically measured by public opinion polls. Scholars find this premise controversial, yet

2. See Barry Friedman, The History of the Countermajoritarian Difficulty, Part One: The Road to Judicial Supremacy, 73 N.Y.U. L. Rev. 333, 342–43 (1998) (acknowledging “some limited countermajoritarian criticism in virtually every era in American history”); Robert W. Bennett, Counter-Conversationalism and the Sense of Difficulty, 95 Nw. U. L. Rev. 845, 846 (2001) (“Bickel was certainly not the first to express concern about judicial review, nor the first to associate that difficulty with the nondemocratic nature of the courts.”).
3. See, e.g., Friedman, supra note 2, at 334 (“The ‘countermajoritarian difficulty’ has been the central obsession of modern constitutional scholarship.”); Richard H. Pildes, Is the Supreme Court a ‘Majoritarian’ Institution?, 2010 Sup. Ct. Rev. 103, 104 (“[I]t is not wrong to characterize American legal thought as ‘obsessed’ with the moral problem of judicial review.”).
4. See Daryl J. Levinson, Parchment and Politics: The Positive Puzzle of Constitutional Commitment, 124 Harv. L. Rev. 657, 659 (2011) (“[T]he leading question in constitutional theory for generations has been how to justify constitutional limitations on the authority of democratic majorities given our background commitments to popular sovereignty and self-government—the infamous ‘countermajoritarian difficulty.’”).
5. See, e.g., Bruce Ackerman, The Decline and Fall of the American Republic 75 (2010) (“Right or wrong, decades of polling have had a profound impact on the public mind.”); Susan Herbst, Numbered Voices: How Opinion Polling has Shaped American Politics 12 (1993) (“Quantitative surveys, both scientific and unscientific, are now a pervasive component of public discourse . . . .”)
6. See, e.g., Barry Friedman, Mediated Popular Constitutionalism, 101 Mich. L. Rev. 2596, 2596 (2003) (“There is a regrettable lack of clarity in the relevant scholarship about what ‘countermajoritarian’ actually means. At bottom it often seems to be a claim, and perhaps must be a claim, that when judges invalidate governmental decisions based upon constitutional requirements, they act contrary to the preferences of the citizenry. Some variation on this premise seems to drive most normative scholarship regarding judicial review.”).
it is undisputed in the public discourse where polls’ results are presented as the will of the people.\textsuperscript{7} While justifications of the Court’s CM authority are usually formulated in a manner that allows them to confront the literal version of the difficulty, the distinct main tactic for tackling this version has been to dissolve it, by showing that the Court actually follows majority opinion.

This Article emphasizes the importance of the distinction between the two CM difficulties for understanding constitutional theory fifty years after Bickel published \textit{The Least Dangerous Branch}.\textsuperscript{8} I begin by exploring the historical background as well as the conceptual meaning of this under-theorized split in the definition of the CM difficulty. The second section surveys prominent attempts to justify the Court’s CM authority. Next, I explore mechanisms offered to dissolve the CM difficulty in its literal sense. I then examine what is left of the CM difficulty in view of these dissolution efforts.

After establishing the distinction between the two CM difficulties and exploring the paths taken to confront them, I turn to examine the explanatory power of this distinction in four additional constitutional issues. Using this distinction permits a better understanding of the connection between Bickel’s discussion of the CM difficulty in the first part of \textit{The Least Dangerous Branch} and the “passive virtues” device that he offered in the second part of the book.\textsuperscript{9} At the time he wrote the book, before the rise of the “public-opinion culture,” Bickel could not fully comprehend the CM difficulty in its literal sense. However, he did devise a technique to counter the dangers that stem from it. Next, I explain why visibility of cases in the media is important for the efforts to dissolve the CM difficulty in its literal sense, but not for the attempts to justify the Court’s CM authority. Based on the distinction between the two difficulties, I explain two distinct understandings of the basis of the Court’s power. One understanding anchors the Court’s power in its expertise, the other in public support. While both ways to understand the Court’s power may have existed in parallel throughout the Court’s history, the rise of public opinion polls has sharpened the distinction between these two understandings. Finally, I demonstrate that the CM difficulty in its literal sense is at the center of an important puzzle in the field of comparative constitutional law. Thus, though the CM difficulty has been considered for many years as an exceptional American obsession, its split into two difficulties exposes that this truism is inaccurate.

\footnotesize{\textsuperscript{7} See ACKERMAN, supra note 5, at 75–76.  
\textsuperscript{8} BICKEL, supra note 1.  
\textsuperscript{9} See id. at 111–98.}
I. Distinguishing Between the Two CM Difficulties

A. Historical Background

The CM difficulty captures two fundamental components of democratic government: majoritarianism and electoral accountability. Judicial review of legislation may entail not only an unaccountable institution scrutinizing the work of an accountable one, but also a non-majoritarian institution countering majority opinion. However, the separateness of these two components became vivid only as polls measuring public support for the Court’s decisions proliferated in the 1960s, and with the rise of public polling in the public mind to the status of an on-going referendum.

Before the invention of scientific opinion polls and the rise of the public-opinion culture, majoritarianism and electoral accountability were conflated in discussions of the CM difficulty. Though the concept of public opinion in its modern sense as the collective voice of the popular will appeared already in the eighteenth century, there was no viable tool for measuring it (except on election-day) before the invention of scientific public opinion polls in the 1930s. Thus, many thought, “Congress was public opinion.” Hence, countering the will of Congress meant contravening the will of the public majority.

Moreover, even after the invention of scientific public polling, it took time until public support for the Court and its decisions was measured. Polling had been institutionalized by the White House in the 1930s, yet it has become a

10. See, e.g., Kevin L. Yingling, Note, Justifying the Judiciary: A Majoritarian Response to the Countermajoritarian Problem, 15 J.L. & Pol. 81, 106 (1999) ("Just as majoritarianism is fundamental to democracy, electoral accountability is also an indispensable feature.").

11. See, e.g., ACKERMAN, supra note 5, at 75 ("Nowadays, Americans simply take it for granted that the polls serve as kind of privatized voting system, providing a rolling referendum on the president’s democratic standing."); GEORGE F. BISHOP, THE ILLUSION OF PUBLIC OPINION: FACT AND ARTIFACT IN AMERICAN PUBLIC OPINION POLLS 66–67 (2005) (discussing the dangers in the growing tendency to present opinion polls as a referendum on public opinion).


13. See ACKERMAN, supra note 5, at 75 (before the introduction of public opinion polls there was “no way to prove” public support between elections); Peters (1995), supra note 12, at 14 ("By the 1920s and 1930s, public opinion was reconceived as a measurable quantity that could be tapped by survey research.").

central preoccupation of presidents only since the 1970s.15 Amongst Congressional members, polling had become a common practice by the mid-1960s.16 Gallup began conducting polls to measure public confidence in the Supreme Court as early as the late 1930s, but it was not until the 1960s that the Gallup and Harris organizations began to track public support for the Court and its decisions in any systematic way.17

Hence, at the beginning of the 1960s, the period in which The Least Dangerous Branch was written, public opinion polls had yet to attain their current status in the public discourse as reliable reflections of popular sentiment.18 Thus, Bickel could write with confidence that “[m]ost assuredly, no democracy operates by taking continuous nose counts on the broad range of daily governmental activities.”19 Since only following the rise of the public-opinion culture, a literal understanding of the CM difficulty could arise, there is no wonder that Bickel conflated the two CM difficulties.20 He coined the phrase “counter-majoritarian,” which is suitable for the literal sense, while probably trying to describe the traditional sense of the problem.21 The more

18. See Ackerman, supra note 5, at 74.
19. Bickel, supra note 1, at 17. However, Bickel was not oblivious to the potential effects of the polling technology on the role of the Court. See id. at 239 (“Surely the political institutions are more fitted than the Court to find and express an existing consensus—so long, at least, as the science of opinion sampling is no further developed than it is.”).
21. Bickel, supra note 1, at 16–19; see also Eule, supra note 20, at 1531 (describing the two available interpretations to the CM difficulty and noting that “[Bickel] described judicial review as thwarting ‘the will of representatives’”); Ilya Somin, Political Ignorance and the Countermajoritarian Difficulty: A New Perspective on the Central Obsession of Constitutional Theory, 89 Iowa L. Rev. 1287, 1298 (2004) (“[Bickel] claimed that the requirements of democracy are satisfied so long as ‘a representative majority has the power to accomplish a reversal’ of policy.” (quoting Bickel, supra note 1, at 17)). Contra David S. Law, A Theory of
proper name for the traditional difficulty should have been the “counter-representative” difficulty.22

Robert Dahl’s famous 1957 article on the responsiveness of the Court to the “dominant national alliance” used the position of the legislative majority in Congress four years or fewer before the Court’s decision as a surrogate for majority will since “scientific opinion polls are of relatively recent origin.”23 Thus, Dahl argued that “[f]or the greater part of the Court’s history, . . . there is simply no way of establishing with any high degree of confidence whether a given alternative was or was not supported by a majority or a minority of adults or even of voters.”24

Only after decades of constant polling reshaped our notion of democratic legitimacy did the difficulty capturing the clash between constitutionalism and democracy change to reflect the new reality in which opinion polls serve as an authoritative democratic legitimator.25 With the rise of public-opinion culture, the term “public opinion” came to be synonymous with poll results.26 Congress was no longer considered as the voice of the popular will, rather, opinion polls were. This development opened the path for the rise of the literal version of the CM difficulty.

B. Conceptual Analysis

Today, one can speak of two CM difficulties.27 In its traditional sense, the CM difficulty deals with “the question of whether the democratic principle of majority rule can be reconciled with the practice of remotely accountable

---

22. Eule, supra note 20, at 1531–32 (noting that “[Bickel’s] error is less in his theory than in his terminology” and suggesting the terms “counter-representative” or “counter-republican” as better labels for the difficulty).


25. ACKERMAN, supra note 5, at 75–76; SARAH E. IGO, THE AVERAGED AMERICAN: SURVEYS, CITIZENS, AND THE MAKING OF A MASS PUBLIC 12–13, 18–19 (2007) (showing that polls’ results serve as social facts with considerable authority); Peters (1995), supra note 12, at 14 (“Since [the 1930s] the polling of ‘public opinion’ has been installed as both a symbol of democratic life and a cog in the machinery of the market and the state.”).

26. See BISHOP, supra note 11, at 6; HERBST, supra note 5, at 63 (“[S]cholars writing from the 1940s to the present have been forced to contend with the notion that polls are becoming synonymous with public opinion.”); Fried & Harris, supra note 14, at 323–24, 353.

27. Cf. Law, supra note 21, at 728 (elaborating the “two senses in which judicial review might be described as countermajoritarian”).
judges invalidating legislation enacted by electorally accountable representatives.”28 The emphasis is on the difficulty of Justices striking down statutes passed by electorally-accountable branches of the government, and not on the majority support for these statutes.29

Accountability allows the public to replace its representatives through periodical elections and for whatever reason. Elected branches are responsible to the electorate.30 Justices, on the other hand, are not directly and regularly accountable to the electorate or to its representatives. While they must survive a majoritarian process of nomination and confirmation administrated by elected representatives,31 there is no effective mechanism for holding them accountable to the public and its representatives once on the bench.32 Justices cannot be replaced directly by the electorate, nor can the elected representatives dismiss them at will. Indeed, they rarely bear the costs of their mistakes.33

The literal version of the CM difficulty emphasizes the majoritarian aspect of democracy, i.e. the Court’s responsiveness to public opinion. Elected branches are responsive to the majority’s will as expressed in elections and in


29. See ROBERT JUSTIN LIPKIN, CONSTITUTIONAL REVOLUTIONS: PRAGMATISM AND THE ROLE OF JUDICIAL REVIEW IN AMERICAN CONSTITUTIONALISM 7–9 (2000) (discussing the CM difficulty); JOHN HART ELY, DEMOCRACY AND DISTRUST: A THEORY OF JUDICIAL REVIEW 4–5 (1980) (“[T]he central function, and it is at the same time the central problem, of judicial review [is that] a body that is not elected or otherwise politically responsible in any significant way is telling the people’s elected representatives that they cannot govern as they’d like.”); Aharon Barak, A Judge on Judging: The Role of a Supreme Court in a Democracy, 116 HARV. L. REV. 16, 48 (2002) (“Critics of my theory argue that the non-accountability of judges should deprive them of the power to void statutes. Such power must only be given to the representatives of the people, who are accountable to them. This is the countermajoritarian argument made again and again.”).

30. See Dennis Murashko, Accountability and Constitutional Federalism: Reconsidering Federal Conditional Spending Programs in Light of Democratic Political Theory, Comment, 101 NW. U. L. REV. 931, 939 (2007) (discussing different meanings of the term “accountable” and stating that “[f]or their actions, public officials are responsible to the electorate”).

31. Thus, they can be considered to be indirectly accountable to the public. See, e.g., MICHAEL J. PERRY, THE CONSTITUTION, THE COURTS, AND HUMAN RIGHTS: AN INQUIRY INTO THE LEGITIMACY OF CONSTITUTIONAL POLICYMAKING BY THE JUDICIARY 9–10 (1982) (distinguishing between direct and indirect accountability); Jeremy Waldron, The Core of the Case Against Judicial Review, 115 YALE L.J. 1346, 1391 (2006) (“The President is elected and people often know what sort of persons he is likely to appoint to the Supreme Court, and the U.S. Senators who have to approve the appointments are elected also, and their views on this sort of thing may be known as well. True, the Justices are not regularly held accountable in the way legislators are, but, as we have already remarked, we are not looking for perfection.”).

32. LIPKIN, supra note 29, at 246 n.31.

other manifestations such as opinion polls. They adopt policies that are signaled as preferred by citizens.\textsuperscript{34} Justices, on the other hand, have lifetime tenure, which relieves them from the need to be responsive to the majority (of the public or its representatives), as well as an ethos of unresponsiveness to popular pressure.\textsuperscript{35}

Some commentators, even today, conflate the Court’s countering elected and accountable institutions with the Court’s countering public opinion.\textsuperscript{36} Others, like Nathaniel Persily, understand and adopt the literal version wholeheartedly: “After all, if the Court merely reflected public opinion in its decisions, then whatever other problems it might have, it could not be described as countermajoritarian.”\textsuperscript{37} According to the traditional version of the CM difficulty, this statement is false. The fact that the Court’s decision aligns with the majority’s views does not negate, at least as a formal matter, the

\begin{quote}
\textsuperscript{34} See, e.g., Bernard Manin, Adam Przeworski & Susan C. Stokes, Introduction to Democracy, Accountability, and Representation 1, 9 (Adam Przeworski, Susan C. Stokes & Bernard Manin eds., 1999) (“These signals may include public opinion polls . . . .”); Jesse H. Choper, Judicial Review and the National Political Process: A Functional Reconsideration of the Role of the Supreme Court 33–38 (1980) (“[T]he empirical surveys divulge that congressmen feel that their voting records will contribute significantly to their election and thus they are strongly influenced by their perception of their constituents’ preferences.”).

\textsuperscript{35} See, e.g., Muller v. Oregon, 208 U.S. 412, 420 (1908) (“Constitutional questions . . . are not settled by even a consensus of present public opinion, for it is the peculiar value of a written constitution that it places in unchanging form limitations upon legislative action . . . .”); James G. Wilson, The Role of Public Opinion in Constitutional Interpretation, 1993 BYU L. REV. 1037, 1120 (“[M]any Justices have separated the judicial domain, excluding public opinion, from the political domain, where public opinion reigns supreme.”); Jeremy Waldron, A Rights-Based Critique of Constitutional Rights, 13 OXFORD J. LEGAL STUD. 18, 44 (1993) (“[T]he courts are not, either in their ethos or image, elective institutions, whereas parliament—whatever its imperfections—obviously is.”).

\textsuperscript{36} See, e.g., Scott E. Lemieux & David J. Watkins, Beyond the “Countermajoritarian Difficulty”: Lessons from Contemporary Democratic Theory, 41 POLITY 30, 32 (2009) (“It is assumed that when courts exercise judicial review, they are contravening the will of the political branches, and therefore by extension the will of the majority.”).

\textsuperscript{37} Nathaniel Persily, Introduction to Public Opinion and Constitutional Controversy 3, 5 (Nathaniel Persily, Jack Citrin & Patrick J. Egan eds., 2008); see also Friedman, supra note 6, at 2605 (“Ultimately, the [CM] claim (and the fear) must be that judges strike down popular laws.”); Richard Primus, Public Consensus as Constitutional Authority, 78 GEO. WASH. L. REV. 1207, 1212–13 (2010) (“[T]he countermajoritarian difficulty is a normative problem that attaches to those decisions in which courts . . . contravene the majority’s preference . . . . [C]ourts make constitutional decisions with which most Americans disagree.”); Peretti, supra note 20, at 125–41 (arguing that the Court is not CM since it “decides consistently with public opinion a majority of the time”).
\end{quote}
problem with an electorally unaccountable institution striking down laws enacted by an elected and accountable institution. 38

The two versions of the CM difficulty are obviously connected. As Michael Perry notes, “one important reason we value electorally accountable policymaking is that we think it more sensitive to the sentiments of the majorities than is policymaking that is not electorally accountable.” 39 Indeed, laws ordinarily correspond to the majority’s preferences. 40 Yet, the two difficulties are still distinct from each other. When, according to public opinion polls, the public supports the Court’s decision to overrule a statute, the Court’s decision is not CM in the literal sense. However, it is still CM in the traditional sense since an unaccountable institution struck down a statute that was passed by electorally accountable branches of government. 41 The Court acts in a CM manner in the traditional sense whenever it invalidates a statute enacted by an elected body, and not only, as the literal definition of the CM difficulty entails, when it invalidates statutes that enjoy popular support. 42

Similarly, the Court may enjoy public support as an institution making it in a sense a “majoritarian institution,” yet it remains a “deviant institution in the American democracy” since it is CM in the traditional sense. 43 Moreover, the Court may enjoy durable majoritarian support as an institution even while deciding some cases in a CM fashion in the literal sense. The public may disagree with certain decisions making them CM in the literal sense. However, the more durable public support for the Court as an institution can transcend such reactions to the Court’s concrete performance and remain unscathed. 44 Hence, the Court may be a majoritarian institution in the literal sense while deciding some cases against public opinion i.e., deciding literally in a CM

38. See Friedman, supra note 6, at 2596 & n.3 (detecting a “lack of clarity” in the definition of the CM difficulty concerning the question whether the difficulty is with “the substance of judicial decisions (i.e., that they do not comport with outcomes the majority would prefer) or the process of judicial decisionmaking (i.e., that judges are unaccountable in an electoral sense).”).
39. PERRY, supra note 31, at 170 n.4.
40. See Eule, supra note 20, at 1532.
41. David Kairys, Introduction to THE POLITICS OF LAW: A PROGRESSIVE CRITIQUE 1, 19 n.16 (David Kairys ed., 3d ed. 1998) (“[W]hen legislatures act counter to the will or inclinations of the majority of their constituents, judicial invalidation of legislation can be both majoritarian (in the sense that most people support it) and countermajoritarian (in the sense that a court is negating the action of a majoritarian institution).”).
42. See PERRY, supra note 31, at 170 n.4 (“[T]he political principle to which we are philosophically committed demands only that policymaking be electorally accountable, not that it necessarily generate policies supported by a majority of the electorate . . . .”).
43. BICKEL, supra note 1, at 18.
fashion. However, overtime, sustained disappointment with the Court’s decisions will lead to a decline in the durable support that the Court enjoys.\textsuperscript{45}

The technological change that separated public opinion from the representatives’ position brought to light the distinction between the two CM difficulties. However, it should be stressed that the split between the two CM difficulties is not between countering public opinion and countering the opinions of representatives. The literal difficulty deals with Court’s decisions countering majority public opinion.\textsuperscript{46} The traditional difficulty deals with striking down legislation of an electorally accountable institution by an institution that is unaccountable.

II. JUSTIFYING THE COURT’S CM AUTHORITY

For many years, scholars who confronted the CM difficulty had usually done so by proposing normative justifications for the Court’s CM authority. Until recently, however, the two definitions of the CM difficulty had been conflated. Therefore, scholars did not usually examine whether the justification they proposed addressed one or both of these difficulties.

These justifications typically have a two-step format. First, the scholar explicates a worthy normative goal that can be extracted from the

\textsuperscript{45} Friedman, supra note 6, at 2615 (“[I]ntense enough specific disagreement with an institution ultimately will have an impact on diffuse support.”); CHOPER, supra note 34, at 139 (“At some point—the exact location of which is unknown, but the existence in fact virtually undisputed—the Court’s continued antimajoritarian rulings will tip the balance of credit accumulation and expenditures and animate a public sentiment that it has but a gossamer claim to legitimacy in a democratic society . . . .”).

\textsuperscript{46} A further distinction between the will of the majority of the public and the will of the current majority of the representatives can be made. Thus, the CM difficulty in its literal version can be split into two. One version deals with the Court that counters the opinion of majority of the public; the other deals with the Court that counters the opinion of the current majority of representatives. Indeed, it is possible for the majority of representatives to support a law that runs against public opinion. It is also possible for the majority of representative to oppose a law, without repealing it, although the public supports the law. See, e.g., JACOB S. HACKER & PAUL PIERSON, OFF CENTER: THE REPUBLICAN REVOLUTION AND THE EROSION OF AMERICAN DEMOCRACY 49–53 (2005) (showing that Congress can diverge from popular opinion substantially and for a significant period of time). While such a distinction is not a meaningless exercise in hair splitting, it is not the focus of my argument and thus for the purposes of clarity I will disregard it. For discussion on this distinction see LIPKIN, supra note 29, at 11 (“Even in a direct, participatory democracy, a ‘new’ majority must wait for elections to give its voice effect.”); Pildes, supra note 3, at 120 (“[T]he Lochner era’s activism might have reflected majority popular opinion even as the Court overturned lawmaking majorities.”); John Ferejohn & Pasquale Pasquino, The Countermajoritarian Opportunity, 13 U. PA. J. CONST. L. 353, 360–65 (2010) (“In any representative democracy it is always possible to have a double majority where the parliamentary and popular majorities diverge, and this indeed becomes likely as the election recedes in time.”).
Constitution, such as protecting disadvantaged groups of society, preserving democratic procedures, and guarding certain basic moral values. Second, the scholar explains why the mission of achieving this goal is “peculiarly suited to the capabilities of the courts,” and “will not likely be performed elsewhere if the courts do not assume it.”

All the justifications for the Court’s CM authority share two other important features: first, according to all of the approaches, the Court’s CM authority is acknowledged and embraced, not dissolved or condemned. Second, each justification for the Court’s CM authority serves as an Archimedean point of agreement, a shared perspective. From an external point of view, each justification may be contested. But from the point of view internal to constitutional discourse, the adopted justification serves as the metric according to which all arguments are measured. Indeed, within the boundaries of a system that adopted a certain justification, the power of a legal argument is measured by its persuasiveness in terms of that justification. A few prominent examples of justifications for the Court’s CM authority will demonstrate these points.

A. Rights-Based Arguments

Rights-based arguments seek to justify the Court’s CM authority by claiming that certain basic rights are required in order to achieve the constitutional commitment to freedom, independence, equality, the full humanity of every member of society, or any other concern that stands at the basis of the Constitution. Such arguments hold (or at least assume) that due to their special training or their relative insularity from public opinion (or

47. See, e.g., 1 BRUCE ACKERMAN, WE THE PEOPLE: FOUNDATIONS 11 (1991) (describing the common thread of “rights foundationalists” as “[w]hatever rights are Right, all agree that the American constitution is concerned, first and foremost, with their protection”).


49. BICKEL, supra note 1, at 24.

50. See, e.g., Klarman, supra note 28, at 492 (“One familiar response turns the tables by treating the difficulty as a virtue.”); Lemieux & Watkins, supra note 36, at 32 (“[T]he countermajoritarian nature of the courts is a good thing, because courts are uniquely well situated to protect the rights of individuals or disadvantaged groups against an excessively powerful majority.”).

51. See Michael J. Perry, Protecting Human Rights in a Democracy: What Role for the Courts, 38 WAKE FOREST L. REV. 635, 638–39 (2003) (“[T]he foundational moral commitment of liberal democracy is to the true and full humanity of every human being—and, therefore, to the inviolability of every human being—without regard to race, sex, religion, etc. This commitment is axiomatic for liberal democracy.”); Waldron, supra note 35, at 20–21 (specifying various concerns at the basis of theories of rights).
both), judges enjoy some institutional advantages over legislators with respect to the process of discovering, asserting, and implementing human rights. Subsequently, judicial review is justified as a necessary means to guarantee the protection of these rights.

B. Process-Based Arguments

Process-based arguments read the Constitution as anchoring a thin normative goal. “[P]reserving fundamental values is not an appropriate constitutional task,” and thus, besides the procedure of political majoritarianism, no other value is constitutionally entrenched.

According to the process-based justification, first raised in *Carolene Products*’ footnote four and further developed most famously by Ely, in order to perfect democracy, the role of detecting democratic procedural malfunctions should be entrusted in the hands of the judiciary. While courts are inferior to legislators in making substantive judgments, judges are “experts on process and . . . political outsiders,” “uniquely situated to ‘impose’” process-based values. Hence, the intervention in majoritarian decisions by judicial review can only be justified when it is required to correct failure in the democratic majoritarian process. Judicial review to secure rights is appropriate in instances in which these rights are constitutive of a well-functioning majoritarian process.

---

52. See, e.g., Perry, *supra* note 51, at 654–57 (“[M]any articulated human rights are not likely to be optimally protected in a democracy unless politically independent courts play a significant role in protecting them.”).

53. See, e.g., DANIEL A. FARBER & SUZANNA SHERRY, *JUDGMENT CALLS: PRINCIPLES AND POLITICS IN CONSTITUTIONAL LAW* 11 (2009) (“Majority rule by itself cannot be trusted to protect religious, political, racial, and geographic minorities from oppression, nor to protect fundamental human rights when they are needed by the powerless or the unpopular.”).

54. ELY, *supra* note 29, at 88; see also Ernest Young, *The Trouble with Global Constitutionalism*, 38 Tex. Int’l L.J. 527, 530 (2003) (“[T]he main restrictions on governmental power under the American Constitution are procedural rather than substantive in nature.”).

55. See, e.g., ELY, *supra* note 29, at 181 (“[J]udicial review . . . can appropriately concern itself only with questions of participation, and not with the substantive merits of the political choice under attack.”).


57. ELY, *supra* note 29, at 75–77 (characterizing his own theory as filling in the outlines of *Carolene Products*).

58. ELY, *supra* note 29, at 75 n.*, 75–77, 88, 102, 112.


Process-based theories do not only justify the Court’s CM authority, they also partly dissolve the CM difficulty in its literal sense. These theories acknowledge that due to failures in the democratic process, laws do not necessarily represent the will of the electorate. Thus, limiting the Court’s interventions to instances in which it can perfect, rather than override, the workings of the majoritarian political process ensures that the CM difficulty in its literal formulation is partly dissolved.\(^61\) Indeed, process-based theories aim only to police majoritarianism democracy, not to override it by making substantive choices, as do justification theories that offer a substantive vision.\(^62\) Yet, process-based theories do not suggest that the Court’s interventions are limited to cases in which a certain law counters the majority’s view. Their aim is to protect the process of political majoritarianism, not to dissolve the CM difficulty in its literal sense.\(^63\)

C. Dworkin’s Empire

Ronald Dworkin’s argument combines the rights-based and process-based approaches.\(^64\) According to Dworkin, the goal of democracy is to ensure that each person receives equal concern and respect for his or her basic rights by the institutions of government, not that the majority of the citizens necessarily or usually get its way.\(^65\) Judicial review can thus be justified if it increases the

---


62. ELY, supra note 29, at 103; see also RONALD DWORKIN, A MATTER OF PRINCIPLE 34, 69 (1985) (analyzing process-based approaches as suggesting that “the Court can avoid trespassing on democracy by policing the processes of democracy itself”); Fiss, supra note 59, at 6 (“The theory of legislative failure should be understood as a general presumption in favor of majoritarianism . . . .”); PAUL W. KAHN, LEGITIMACY AND HISTORY: SELF-GOVERNMENT IN AMERICAN CONSTITUTIONAL THEORY 148–49 (1992) (“[T]he Court’s role is limited to remedying the process; it may not substitute its value judgments for those of elected institutions.”).

63. ELY, supra note 29, at 103 (“Our government cannot fairly be said to be ‘malfunctioning’ simply because it sometimes generates outcomes with which we disagree, however strongly . . . .”).

64. Compare Michael C. Dorf, Truth, Justice, and the American Constitution, 97 COLUM. L. REV. 133, 143 (1997) (book review) (“It may be useful to think of Dworkin’s approach to the practice of judicial review as belonging to the same family as John Hart Ely’s approach.”), with Erik G. Luna, Sovereignty and Suspicion, 48 DUKE L.J. 787, 824 (1999) (“[M]ajoritarian procedures are adopted to guarantee equal status for individuals, not to satisfy the goals of majority rule.”).

65. RONALD DWORKIN, FREEDOM’S LAW: THE MORAL READING OF THE AMERICAN CONSTITUTION 16–17 (1996) (rejecting the “majoritarian premise” and arguing that “the defining aim of democracy [is] . . . that collective decisions be made by political institutions whose structure, composition, and practices treat all members of the community, as individuals, with equal concern and respect”); id. at 72–78, 212–16; DWORKIN, supra note 62, at 32 (noting that
likelihood of fulfilling this goal. As with rights-based approaches, the goal is to protect rights, not majoritarian procedures. But due to his broad definition of democracy, Dworkin views a failure to respect the moral equality of all citizens as a democratic malfunction that justifies a review of legislation. Thus, by adopting a broader definition of democracy, Dworkin is able to argue, in the spirit of the process-based approach, that judicial review strengthens rather than undermines democracy.

While Dworkin denies that judges enjoy some inherent advantages over other officials with respect to the process of discovering constitutional values or protecting fundamental rights, he contends that in the United States the authority of judicial review “is already distributed by history,” and it is currently settled that the Supreme Court holds the final interpretative authority.

D. Textualism and Originalism

Textualism and originalism aim to bind the current public to the original constitutional course as plainly expressed in the words of the Constitution or understood by those who wrote the Constitution/the generation living at that period. All the approaches described above present themselves as emanating from the constitutional text, but textualism and originalism are more “text-based” theories.

66. RONALD DWORKIN, TAKING RIGHTS SERIOUSLY 133 (1977) (arguing that constitutional restraints “[can] be justified by appeal to moral rights which individuals possess against the majority”).

67. Dorf, supra note 64, at 143 (summarizing Dworkin’s position as being that, “legislation that denies persons equal respect is . . . inconsistent with constitutional democracy”).

68. See DWORKIN, supra note 65, at 153–55; DWORKIN, supra note 62, at 70 (“If we give up the idea that there is a canonical form of democracy, then we must also surrender the idea that judicial review is wrong because it inevitably compromises democracy.”).

69. See DWORKIN, supra note 65, at 7, 12, 34. There seems to have been a shift in Dworkin’s position. In his earlier writings, one can find indications that he believed courts were structurally the best institution for principled decision. See RONALD DWORKIN, LAW’S EMPIRE 244 (1986).

70. See, e.g., DWORKIN, supra note 65, at 2, 12, 33–35, 74.

71. See Jack M. Balkin, Original Meaning and Constitutional Redemption, 24 CONST. COMMENT. 427, 444–46 (2007) (describing the development of different versions of originalism: original intention, original understanding, and original meaning).

The CM difficulty captures the clash between the voice of “We the People” who produced the constitutional text and the voice of the current people and their representatives. According to originalists and textualists, review of legislation is justified since in terms of democratic legitimacy, the original voice of the popular sovereign as fixed in the Constitution is superior to the current passing whims of public opinion.\(^73\) Judicial review is the best mechanism for ensuring loyalty to the people’s original voice, since the Justices possess expertise in the field of constitutional history or in textualist analysis.\(^74\)

E. Ackerman’s Dualist Model

According to Bruce Ackerman’s dualist model, in times of normal politics, the Court’s CM role is justified because the CM judicial review power binds the People to their own constitutional principles that were ratified in times of “higher lawmaking.”\(^75\) In these relatively short periods of time of “constitutional politics,” constitutional changes are not necessarily made according to the formal procedure for amending the Constitution that is anchored in Article V. Ackerman argues that the constitutional constraints of Article V compel the People to recruit sustained and enduring political energy to either amend the Constitution according to Article V or make a constitutional change transgressing its constraints.\(^76\) Only a significant mobilization of the People can muster enough force to change the achievements of pervious higher lawmaking power.\(^77\) Public support of such a change has to be qualitatively different from the support of normal legislation,
both in the degree of majority support and in the duration and depth of deliberation.\textsuperscript{78} After the period of “constitutional politics,” in times of “normal politics,” the Court’s role is to “translate the rare successes of constitutional politics into cogent doctrinal principles capable of controlling normal politics.”\textsuperscript{79}

Simple passing desires do not express the considered judgments of the people in their collective capacity. These are mere public opinion, even when enacted into law. As such, these desires should be constrained by the judicial review mechanism, which binds the people to their considered constitutional judgments.\textsuperscript{80} The political branches similarly cannot be allowed to stray from the constraints placed upon them by the people.\textsuperscript{81} Indeed, the Justices’ role “is to preserve the higher law solutions reached by the People against their erosion during periods of normal politics.”\textsuperscript{82} In such times, the Court “represents” the people, who are absent.\textsuperscript{83}

Ackerman thus justifies the Court’s CM authority based on its ability to preserve the people’s higher-law achievements, even those that were not formally codified. But Ackerman’s model does more. It also presents a mechanism for the dissolution, at least in some cases, of the CM difficulty in its literal sense. In certain constitutional moments, “We the People” are able to break through the formal procedures of legality, and accord enduring public opinion a de-facto status of a constitutional amendment. In other words, if the transformation in public opinion is enduring and strong enough, it can overturn judicial constitutional decisions which counter public opinion.

According to Ackerman, the preservationist function is very suited for the Court, since it relies on judicial expertise in identifying extraordinary moments


\textsuperscript{79} ACKERMAN, supra note 47, at 290; see also id. at 60 (“[The Court’s] job is to preserve the higher law solutions reached by the People against their erosion during periods of normal politics.”); id. at 72, 263–65; David M. Golove, Democratic Constitutionalism: The Bickel-Ackerman Dialectic, in \textit{The Judiciary and American Democracy: Alexander Bickel, the Countermajoritarian Difficulty, and Contemporary Constitutional Theory}, supra note 20, at 71, 80–81.

\textsuperscript{80} See ACKERMAN, supra note 47, at 242–43, 262–63.

\textsuperscript{81} Id. at 171 (“During periods of normal politics, [government officials] must be constrained by the constitutional forms imposed during rare periods of constitutional creativity, when the People mobilize and speak with a very different voice.”); id. at 261–64, 289.

\textsuperscript{82} Id. at 60; see also id. at 9–10, 72, 192, 263.

\textsuperscript{83} Id. at 264–65 (“[T]he Supreme Court is . . . an ongoing representative of a mobilized People during the lengthy periods of apathy, ignorance, and selfishness that mark the collective life of the private citizenry of a liberal republic.”).
of public mobilization (“constitutional politics”). “Quite simply,” he explains, “the Justices are the only ones around with the training and the inclination to look back to past moments of popular sovereignty, and to check the pretensions of our elected politicians when they endanger the great achievements of the past.”

III. DISSOLVING THE CM DIFFICULTY IN ITS LITERAL SENSE

A. Robert Dahl Plants the Seeds for Dissolving the Literal CM Difficulty

In 1957, Robert Dahl published a revolutionary article. Dahl’s aim was to examine the empirical basis of what is arguably the most common justification for the Court’s CM authority: the claim that the Court “stands in some special way as a protection of minorities against tyranny by majorities.” Based on empirical data, Dahl argued that Supreme Court decisions rarely obstruct important policies of national majorities. Dahl suggested that the Court acts in a CM fashion only during “short-lived transitional periods,” when the dominant political coalition is disintegrating or otherwise unstable. Most of the time, the appointment of Justices by Presidents who are part of dominant political coalition ensures that the Court’s decisions are in line with the will of lawmaking coalitions.

84. See id. at 263–65; Golove, supra note 79, at 80–81.
85. Bruce Ackerman, 2006 Oliver Wendell Holmes Lectures: The Living Constitution, 120 Harv. L. Rev. 1737, 1806–07 (2007); see also ACKERMAN, supra note 47, at 139 (“What the judges are especially equipped to do is preserve the achievements of popular sovereignty during the long periods of our public existence when the citizenry is not mobilized for great constitutional achievements.”).
88. Id. at 283–84.
89. Id. at 293.
90. Adamany & Meinhold, supra note 86, at 362–63, 374 (discussing Dahl’s argument); Pildes, supra note 3, at 104–05 (“Dahl . . . concluded that the Supreme Court had not functioned
Following Dahl, other social scientists have shown a correlation between the Court’s constitutional decisions and the policy preferences of legislative majorities at the national level. Since the mid-1980s, social scientists have published studies that present a correlation between public opinion, as measured by polls, and the Court’s decisions on most publicly salient issues. In his comprehensive studies of the relationship between the Court’s decisions and public opinion, Thomas Marshall concluded that “overall, the evidence suggests that the modern Court has been an essentially majoritarian institution.”

Until recently, however, constitutional theorists have largely focused on offering normative justifications for the Court’s CM authority. This has changed significantly during the last decade or so, as more and more legal theorists have come to realize the importance of social-science research to constitutional discourse. Thus, empirical findings have convinced many of them that over time, the Court’s decisions tend to reflect the majority’s opinion rather than thwart it.

historically as a countermajoritarian institution and, for structural reasons, was unlikely to do so.”

91. See, e.g., Michael McCann, How the Supreme Court Matters in American Politics: New Institutionalist Perspectives, in THE SUPREME COURT IN AMERICAN POLITICS: NEW INSTITUTIONALIST INTERPRETATIONS 63, 69 (Howard Gillman & Cornell Clayton eds., 1999) (presenting the conventional wisdom among social scientists following Dahl’s article and its refinement as a result of further studies).

92. See, e.g., Adamany & Meinhold, supra note 86, at 372–73; JEFFREY ROSEN, THE MOST DEMOCRATIC BRANCH: HOW THE COURTS SERVE AMERICA 6 (2006) (“Beginning with Robert Dahl in the 1950s, political scientists have argued that the Supreme Court throughout its history has tended to follow national opinion rather than challenging it.”); Peretti, supra note 20, at 132 (“Overall, the research refutes Bickel’s characterization of the Court as a countermajoritarian institution.”); Law, supra note 21, at 730 (“Empirical studies suggest that the Court’s actions are at least as consistent with public opinion as those of the elected branches.”); David G. Barnum, The Supreme Court and Public Opinion: Judicial Decision Making in the Post-New Deal Period, 47 J. POL. 652, 662 (1985); Helmut Norpoth & Jeffrey A. Segal, Popular Influence on Supreme Court Decisions, 88 AM. POL. SCI. REV. 711, 714–15, 718, 720 (1994); PUBLIC OPINION AND CONSTITUTIONAL CONTROVERSY, supra note 37.

93. THOMAS R. MARSHALL, PUBLIC OPINION AND THE SUPREME COURT 192–93 (1989) (“Where clear poll margins exist, three-fifths to two-thirds of Court rulings reflect the polls.”); see also id. at 7, 78–81, 97–98, 117; MARSHALL, supra note 17, at 4–5 (stating the modern Court appears to be as majoritarian as other American policy makers); id. at 35, 55–59.

94. See Pildes, supra note 3, at 104 (“For several decades, Bickel’s and Dahl’s warring perspectives remained largely cabined within their own respective disciplines. Legal scholars continued to develop normative constitutional theories . . . .”).

95. See id. at 105 (describing how Dahl’s research and Bickel’s CM difficulty came in recent years into “more direct conversation and collision”).

96. See, e.g., ROSEN, supra note 92, at xii; BARRY FRIEDMAN, THE WILL OF THE PEOPLE: HOW PUBLIC OPINION HAS INFLUENCED THE SUPREME COURT AND SHAPED THE MEANING OF THE CONSTITUTION 364–65 (2009) (“By the end of the Rehnquist Court, it was a widely
Scholars have suggested several mechanisms that might explain this correlation between the Court’s decisions and public opinion. Before examining in detail each of these mechanisms, it is important to emphasize a property they share. All of these mechanisms aim to dissolve the difficulty in its literal meaning, to demonstrate that the CM difficulty is a myth or an illusion and that the Court is in fact a majoritarian institution in the sense that its decisions correspond to majority opinion.

B. Dissolution Mechanisms

1. The Appointment Process

Many scholars explain the correlation between the Court’s decisions and majority opinion by pointing to the judicial appointment process. This process allows the President and the Senate majority to control the Court’s composition. As Dahl first demonstrated empirically, the judicial appointment process ensures that the Court remains attuned to the dominant political coalition. Based on Dahl’s theory and subsequent empirical corroboration, several constitutional scholars have claimed that Dahl’s “dominant political coalition,” which controls the Court’s composition, serves as a proxy for the majority’s views. In this manner, the sync between public opinion and the Court’s decisions is ensured.
For example, Jack Balkin and Sanford Levinson devised the theory of “Partisan Entrenchment.”102 “[B]y installing enough judges and Justices with roughly similar ideological views over time,” they suggest, “Presidents can push constitutional doctrine in directions they prefer.”103 The controlling elected coalition determines the appointments, and thus “the Supreme Court tends, in the long run, to cooperate with the dominant political forces of the day.”104 In this manner, responsiveness to the opinion of the electing public is indirectly created.105 “Justices resemble Senators who are appointed for 18-year terms by their parties and never have to face election.”106 Partisan entrenchment is “roughly but imperfectly democratic,” thus dissolving the CM difficulty in its literal sense.107

Other scholars, however, claim that this proxy is only a crude one, and can hardly create a prefect calibration between public opinion and the Court.108 Presidents usually do not appoint Justices who capture mainstream popular thought, and even Justices appointed as “perfect proxy for public opinion” may experience “ideological drift” during their term of service on the bench.109 Moreover, in view of the large number of issues adjudicated by the Court and the length of time necessary to replace a substantial number of Justices,

---


103. Id. at 490; see also id. at 501 (“If the Court as a whole strays too often and too widely from the desires of the dominant forces in national politics . . . the appointment process soon pushes the Court back into line. The calibration is hardly perfect . . . .”); Jack M. Balkin & Sanford Levinson, Understanding the Constitutional Revolution, 87 VA. L. REV. 1045, 1068 (2001) (“Partisan entrenchment through presidential appointments to the judiciary is the best account of how the meaning of the Constitution changes over time through Article III interpretation rather than through Article V amendment.”).

104. Balkin & Levinson, supra note 102, at 490; see also id. at 495, 501.

105. Id. at 501.

106. Balkin & Levinson, supra note 103, at 1067.

107. Id. at 1076 (“[P]artisan entrenchment . . . is in some sense the opposite of Alexander Bickel’s famous ‘counter-majoritarian difficulty’ . . . .”).


109. See FRIEDMAN, supra note 96, at 374.
appointment of Justices is not an effective way to ensure the responsiveness of the Court to public opinion.  

In light of this criticism, several scholars have pointed out that the confirmation process has changed dramatically over the last forty years. In the past, confirmation hearings were not generally on the public’s agenda, and mass public opinion thus had almost no role in the process. In recent decades, the confirmation process has become like an electoral campaign, with public opinion serving as “the implicit gauge of selection and confirmation.” Hence, the Court’s responsiveness to public opinion is ensured also through public opinion’s direct control of the appointment process.

2. Strategic Behavior and Attitudinal Change

Rather than invoking a mediating mechanism by which majority opinion influences the Court, several social scientists have suggested a direct link between majority opinion and the Court’s decisions. Both the strategic behavior and the attitudinal change explanations, described below, suggest that the Justices internalize majority opinion into their own way of thinking.

The strategic explanation portrays Justices as strategic players, who modify their behavior in light of public opinion and the behavior of other majoritarian political players, in order to protect the Court’s enduring public


111. RICHARD DAVIS, ELECTING JUSTICE: FIXING THE SUPREME COURT NOMINATION PROCESS 10–11 (2005) (arguing that Supreme Court nominations have been highly public processes from the late 1960s, and even more so since the 1980s); id. at 29 (“Until the last quarter century or so, the public’s role was minimal in Supreme Court confirmations.”); BRIAN Z. TAMANAH, LAW AS A MEANS TO AN END: THREAT TO THE RULE OF LAW 174–75 (2006).

112. DAVIS, supra note 111, at 16 (“[P]ublic opinion surveys and other less scientific measures . . . become tools for proponents and opponents in justifying appointment or rejection.”); see also id. at 6–9 (“The similarities between presidential elections and judicial appointments are becoming increasingly apparent.”); id. at 29–31, 61–64, 75–76, 102–03; JAMES L. GIBSON & GREGORY A. CALDEIRA, CITIZENS, COURTS, AND CONFIRMATIONS: POSITIVITY THEORY AND THE JUDGMENTS OF THE AMERICAN PEOPLE 1 (2009) (“Today, one of the crucial elements in confirmations strategies concerns how public opinion will be managed and manipulated. . . . [A]t least since the days of the Bork defeat and Thomas victory, the preferences of the mass public have been influential in determining who goes on the Supreme Court.”).

113. See, e.g., Law, supra note 21, at 728 (“[I]t is impossible to deny that the composition of the Supreme Court . . . is the product of pitched struggle among political actors who pay very close attention to the substantive views of judicial nominees.”).

support (sociological legitimacy) and policy effectiveness. According to this theory, Justices worry that a decline in the Court’s enduring public support would lead to poor implementation of the Court’s decisions, backlash, and other negative consequences. To avoid such a decline, the Justices remain, to some degree, responsive to public opinion.

The attitudinal model suggests that the Justices make result-oriented decisions, based primarily on their ideologies. The Justices’ ideologies, in turn, are shaped by factors similar to those shaping the ideological preferences of the public and its representatives. To the extent that the Justices share the public’s ideological preferences, they will vindicate public opinion without even intending to do so. Political socialization ensures that the Court’s adjudication does not stray too far from the views of the general public and its representatives, and a shift in public opinion is usually reflected by a parallel shift in Justices’ ideology. After surveying recent studies that examine public influence on the Court, Lee Epstein and Andrew Martin have concluded that both the strategic behavior model and attitudinal change model are “equally plausible.”

3. Backlash Theories

According to Barry Friedman, as history demonstrates, the correlation between public opinion and the Court’s decisions is driven, to a large extent, by public backlash. Public backlash, as Cass Sunstein elaborates, is the “[i]ntense and sustained public disapproval of a judicial ruling, accompanied

---


116. See, e.g., Lawrence Baum & Neil Devins, Why the Supreme Court Cares About Elites, Not the American People, 98 GEO. L.J. 1515, 1546–47 (2010) (describing the mechanism of “legitimacy as a source of responsiveness to public opinion”); see also id. at 1563–65, 1580; Neal Devins, The Majoritarian Rehnquist Court?, 67 LAW & CONTEMP. PROBS. 63, 75 (2004) (“Lacking the power to appropriate funds or command the military, the Court understands that it must act in a way that garners public acceptance.”).

117. See, e.g., Frank B. Cross, Political Science and the New Legal Realism: A Case of Unfortunate Interdisciplinary Ignorance, 92 NW. U. L. REV. 251, 265–67, 278–79 (1997); Giles, Blackstone & Vining, supra note 114, at 295; Baum & Devins, supra note 116, at 1520–22 (“[T]he Justices have made clear that they are not immune from the social and political forces that surround them.”); id. at 1557, 1560–63.

118. See Epstein & Andrew D. Martin, Does Public Opinion Influence the Supreme Court? Possibly Yes (But We’re Not Sure Why), 13 U. PA. J. CONST. L. 263, 280–81 (2010) (arguing that it is equally plausible that “the public has a direct influence on the Court because the Justices are concerned about their legitimacy in the short and long-terms . . . [or that] the same things that influence public opinion may influence the Justices, who are, after all, members of the public too”).

119. Friedman, supra note 96, at 362 (“One of the greatest engines of constitutional change has been mobilization against Supreme Court decisions by those unhappy with the results.”).
by aggressive steps to resist that ruling and to remove its legal force." 120 If a backlash movement “muster[s] strong support, then, and only then,” Friedman explains, “the Court tends to fall into line with the dissenting opinion." 121 Thus, social mobilization is another mechanism that ensures that the Court is ultimately responsive to public’s views. 122

The alignment between the Rehnquist Court’s decisions and public opinion posed a challenge for both the appointment mechanism and the backlash mechanism. 123 The eleven-year period from 1994 and 2005 was the longest the Court had gone without a change in membership since the Court’s size was fixed at nine Justices in 1869. 124 In addition, no serious public backlash occurred in those years, and the other two branches’ “weapons to control the justices look to have been ruled off the table or lost their force.” 125 Yet, the Court in this period was more in line with public opinion than ever before. 126

In light of this conundrum, Barry Friedman has recently presented a theory of “quiet equilibrium,” or “marriage” between the Court and public opinion. 127 According to Friedman, the Court has always been attentive to public opinion, but only recently, after more than two hundred years, have the public and the Court understood how to interact with each other effectively. 128 Today, “[t]he justices don’t actually have to get into trouble before retribution occurs; they can sense trouble and avoid it.” 129

The “quiet equilibrium” mechanism, by which Friedman explains the current sync between the Court and public opinion, 130 is a variation on the...

---

121. Friedman, supra note 96, at 383.
123. Friedman, supra note 96, at 354 (“From the first Rehnquist Court right down to the third, most, if not all, of the major decisions could be seen as keeping within the mainstream of popular opinion.”).
124. Pildes, supra note 3, at 141 (“[T]hree of the five longest periods between vacancies since the Court went to nine members have occurred in the last thirty years.”).
125. Friedman, supra note 96, at 376; see also Pildes, supra note 3, at 139 (“The political branches today are less likely effectively to resist the Court.”).
126. Friedman, supra note 96, at 358–59, 371, 376 (“Perhaps more than ever before, Supreme Court decisions run in the mainstream of public opinion.”).
127. Id. at 376.
128. Id.
129. Id.; see also Barry Friedman, The Will of the People and the Process of Constitutional Change, 78 Geo. Wash. L. Rev. 1232, 1245 (2010) (“[I]f the system is in equilibrium, little will be observed in the way of overt struggle.”).
130. Friedman, supra note 96, at 376.
backlash mechanism. It emphasizes the fear from an anticipated public reaction rather than its actual occurrence.131

4. Public Opinion as a Legitimate Legal Argument

According to Richard Primus’s description, in certain areas of law, strong public opinion is incorporated into legal discourse as a legitimate source of constitutional interpretation, even without going through the formal democratic process and even without a threat of public backlash.132 In these areas the only relevant question is whether public opinion is “strong” enough.133 Thus, in Primus’s picture of constitutional law, the line separating between public opinion and the legal language disappears completely: Public opinion is incorporated into legal language.134 A strong argument in terms of public opinion will be considered as persuasive in terms of constitutional law.135

Similarly, David Strauss points out the Court’s attempt, “over the last generation or so,” to “anticipate[ . . . ] developments in popular opinion” and to adjudicate accordingly.136 He labels this trend “modernization.”137 “[I]nstead of facing down popular majorities,” as would be expected from a court adjudicating literally in a CM fashion, in certain areas the Court “yields when it finds out that it has misgauged public opinion.”138 Strauss demonstrates that several of the Court’s recent judgments were consciously tailored to coincide with trends in popular opinion.139 Utilizing provisions in the Constitution which allow a great deal of interpretative latitude, the Court is able to invalidate a “statute if it no longer reflects popular opinion or if the trends in popular opinion are running against it.”140 It is also able to change course, upholding statutes “that the court previously struck down—if it becomes apparent that popular sentiment has moved in a different direction from what the court anticipated.”141

131. See id.
132. Primus, supra note 108, at 6, 8–9, 18–19.
133. Id. at 20 (“[I]n cases where strong public opinion bore on the question, judges would err if they arrived at their conclusions without reference to that opinion.”).
134. Id. at 11, 19–20.
135. Id. at 8, 14.
136. Strauss, supra note 61, at 859–60 (“[O]ver the last generation or so, a very different form of judicial review has quietly emerged—an approach that, more or less consciously, looks to the future, not the past; that tries to bring laws up to date, rather than deferring to tradition; and that anticipates and accommodates, rather than limits, developments in popular opinion.”).
137. Id. at 860.
138. Id. at 859–60 (“This approach, which might be called modernization, has not been fully avowed by the Supreme Court, and it does not characterize every area of constitutional law. But it is the dominant approach in many important areas . . . .”).
139. See, e.g., id. at 864–87.
140. Id. at 861.
141. Id.
One important implication of “keeping the content of constitutional law aligned with the public’s strongly held views” is the dissolution of the CM difficulty in its literal sense. In Strauss’s view, the rise of the “modernization” as “a central theme in constitutional law” serves perhaps as a “response to the relentless criticism of judicial review as antidemocratic.”

This mechanism ensures that “judicial review has, in principle, a more comfortable place in democratic government.”

C. What is Left of the CM Difficulty?

Several dissolution theorists have suggested that since the CM difficulty is dissolved, constitutional scholars should cease to invest their time in confronting it. In their view, there is no difficulty with judicial review as long as it is responsive—over the long run—to public opinion. But even if one accepts that the mechanisms described above make the Court by and large responsive to public opinion, the second traditional CM difficulty remains undissolved. The Court may be responsive to the public and the elected branches, but as long as it is not accountable to them, the CM difficulty in its traditional sense is not dissolved. The Justices are not elected, and thus are not responsible to the electorate in the way legislators are.

Rule by the electorate is something different from rule in accordance with the electorate’s views. When people go and vote they intend to influence the elections and through it the elected institutions, but in expressing their attitudes in public polls, especially regarding the Court, they have no such intention. Thus, even if opinion polls guarantee that the public’s views are tracked

---

144. Id. at 861.
145. See Friedman, supra note 129, at 1234 (“[T]he one suggestion . . . with which I vigorously disagree is Professor Primus’s notion that the countermajoritarian difficulty should nonetheless be retained as a heuristic . . . . [O]n this one point I believe he is misguided.”); Pildes, supra note 3, at 105 (arguing that some constitutional theorists “sought to dissolve Bickel’s question or suggest that it is naive and passé”); Peretti, supra note 20, at 137 (arguing that the CM difficulty is “a straw-man argument if there ever was one”).
146. Balkin, supra note 122, at 71 (“What gives the system of judicial review its legitimacy, in other words, is its responsiveness—over the long run—to society’s competing views about what the Constitution means.”).
147. Cf. Bickel, supra note 1, at 19 (“[O]nly may infer that judicial review, although not responsible, may have ways of being responsive.”); Perry, supra note 31, at 31 (“What is crucial about majoritarian policymaking, unlike constitutional policymaking by the Supreme Court, is that policy decisions are made by those accountable, even if not always responsive, to electoral majorities . . . . What is crucial is electoral accountability, not degree of responsiveness to majority sentiments.”).
accurately, that is different from the people being in control.\textsuperscript{148} To dissolve the difficulty in its traditional sense, which focuses on accountability rather than on majoritarianism, one would need to demonstrate that the Court is responsible to the electorate, and thus that the people themselves are in control.\textsuperscript{149}

The Court can be considered to be indirectly accountable to the public through the appointment process, since it is administrated by elected representatives.\textsuperscript{150} Other mechanisms, such as impeaching Justices or slashing the Court’s budget, can also be understood to create indirect accountability.\textsuperscript{151} Moreover, some view responsiveness as “a measure of how much accountability an institutional structure permits.”\textsuperscript{152} According to this view, the scholarly effort that exposes the Court’s responsiveness to elected representatives and to the general public shows that the Justices are subject to their control.\textsuperscript{153} Indeed, the dissolution theorists have tried to show that Justices, much like elected representatives, adhere to public opinion, thus exposing mechanisms of accountability without elections.\textsuperscript{154} Similarly, dissolution theorists conceptualize congressional opposition and presidential pressures\textsuperscript{155} as mechanisms that ensure that the Court will be somewhat accountable to elected representatives.\textsuperscript{156} These manifestations of discontent by politicians may also serve as signals for the Court on its waning public confidence, and thus can ensure indirectly accountability to the public.\textsuperscript{157} As

\textsuperscript{148} David M. Estlund, Democratic Authority: A Philosophical Framework 76 (2008).

\textsuperscript{149} See id. at 76–77.

\textsuperscript{150} See Yingling, supra note 10, at 106–07; Eisgruber, supra note 60, at 4 (“Though the justices are not chosen by direct election, they are nevertheless selected through a process that is both political and democratic. . . . The justices have . . . a democratic pedigree . . . .”).

\textsuperscript{151} See Choper, supra note 34, at 47–55 (discussing the political accountability of the Supreme Court).

\textsuperscript{152} John Ferejohn, Accountability and Authority: Toward a Theory of Political Accountability, in Democracy, Accountability, and Representation, supra note 34, at 131, 131.

\textsuperscript{153} See id. at 133–34.

\textsuperscript{154} See, e.g., Baum & Devins, supra note 116, at 1562–63 (“If the Justices respond frequently to what they perceive as direct pressure from the general public, then they are fundamentally similar to elected officials in their accountability to public opinion.”); Waldron, supra note 35, at 43 (“Judicial review, it may be said, is a form of democratic representation, albeit a rather indirect form.”).


\textsuperscript{156} Seidman, supra note 33, at 1572 (surveying different mechanisms that make the Court accountable).

opposed to Bickel’s formal accountability through elections, these scholars point to accountability through politics.\footnote{158}{See Mark A. Graber, The Countermajoritarian Difficulty: From Courts to Congress to Constitutional Order, 4 ANN. REV. LAW SOC. SCI. 361, 362–63 (2008).}

However, the traditional version of the difficulty captures an additional important aspect of self-government. The ability to remove representatives whose performance is deemed unsatisfactory is a crucial aspect of direct control over representatives.\footnote{159}{See Hanna Fenichel Pitkin, Introduction to REPRESENTATION 9 (Hanna Fenichel Pitkin ed., 1969) (describing the scholarly view that “[w]hat defines representation is not an act of authorization that initiates it, but an act of holding-to-account that terminates it.”); HENRY J. FORD, REPRESENTATIVE GOVERNMENT 158 (1924) (arguing that “to establish a representative system all the following conditions are essential: 1. That the people shall be free to choose whom they will to represent them . . . 4. That elections shall be confined to the choice of representatives.”).} In the absence of an agreed upon justification for judicial review, in the absence of an agreed-upon “moral compass” for society to follow, one way to ensure that the Court will not stray from the “right” course is to subject those who decide to the control of the people.\footnote{160}{See JOSEPH A. SCHUMPETER, CAPITALISM, SOCIALISM, AND DEMOCRACY 284–85 (3d ed. 1950) (“Democracy means only that the people have the opportunity of accepting or refusing the men who are to rule them.”); see also Somin, supra note 21, at 1298; Manin, Przeworski & Stokes, supra note 34, at 13 (“Under democracy, people invest governments with the power to rule because they can remove them.”).} This aspect has special importance in societies where the public is less politically active. In such societies, the public will be less engaged in daily monitoring of its representatives and in forming opinions on political issues. Public support levels are less relevant and more weight is given to delegation of political power from the citizenry to its elected representatives and hence to the ability to replace these representatives.\footnote{161}{See MATTHEW R. CLEARY & SUSAN C. STOKES, DEMOCRACY AND THE CULTURE OF SKEPTICISM: POLITICAL TRUST IN ARGENTINA AND MEXICO 3 (2006).} This aspect of accountability received special emphasis with regards to experts in the field of economics after the 2008 financial collapse.\footnote{162}{See, e.g., IAN SHAPIRO, THE REAL WORLD OF DEMOCRATIC THEORY 18–19 (2011) (discussing the pressure to subject the architects of the economic system to “new regimes of accountability” in view of the dire results of the economic crisis).}

The ability to remove representatives is a built-in feature of the system that has both an instrumental value, in that it promotes responsiveness, and an intrinsic value, in that it allows the public to determine its representatives at regular intervals.\footnote{163}{See Waldron, supra note 35, at 37–38.} Hence, rather than misconceiving accountability as merely means to achieve responsiveness to the majority will, we should understand it also as a structural feature, a check against abuses of power.\footnote{164}{See Rebecca L. Brown, Accountability, Liberty, and the Constitution, 98 COLUM. L. REV. 531, 535 (1998).} But the
Justices are answerable to no outside source. As opposed to most influential appointed officials, Justices are hardly subject to removal by the political branches. The lack of this “majority control” over Supreme Court Justices will remain as long as the public cannot have some measure of control at regular intervals over the identity of the judicial decision-makers. The process designed to create such control is popular elections. Notwithstanding the imperfections of elections as a mechanism of democratic accountability, in their absence, even a fully responsive Court does not dissolve the CM difficulty in its traditional sense. Thus, in some sense, the traditional CM difficulty is insoluble unless the public elects its Justices or has another mechanism to truly hold them accountable.

Hence, while scholars have concluded that “[c]ompared with the electoral control over legislatures, judges may not seem so relatively unaccountable,” and that Congress “is the new `deviant institution in American democracy,”

165. See 2 THE COMPLETE ANTI-FEDERALIST 438 (Herbert J. Storing ed., 1981) (“There is no power above them to controul any of their decisions. There is no authority that can remove them, and they cannot be controuled by the laws of the legislature. In short, they are independent of the people, of the legislature, and of every power under heaven.”); see also id. at 442; Shlomo Slonim, Federalist No. 78 and Brutus’ Neglected Thesis on Judicial Supremacy, 23 CONST. COMMENT. 7, 15–17, 30–31 (2006) (explaining Brutus’ objection to judicial supremacy).

166. CHOPER, supra note 34, at 56 (presenting the “sharp contrast” between the Justices and “highly placed and greatly influential” appointed officials in terms of accountability).


168. See Friedman, supra note 101, at 588–89.

169. See, e.g., id. at 582–88, 609–10 (claiming that elections “do not appear to guarantee accountability,” and concluding that Justices are unaccountable “only in the most formalistic and unanalytic of senses”); Manin, Przeworski & Stokes, supra note 34, at 50 (arguing that elections are not a sufficient mechanism to ensure citizens’ control over politicians).

170. CHOPER, supra note 34, at 58 (“That a majority of the people may ultimately prevail vis-à-vis the Court by outright resistance or through methods of dubious legitimacy under a rule of law, even if a reality, is not the same as their ordained ability to change the composition of the political branches at regularly scheduled periodic elections.”).


172. Friedman, supra note 101, at 611; see also CHOPER, supra note 34, at 16–25 (presenting the claim that the national lawmaking process is “anything but a system that simply articulates some readily identifiable popular will”).

173. Graber, supra note 158, at 362 (using Bickel’s famous dictum regarding the Court in summarizing Mann & Ornstein’s work The Broken Branch: How Congress is Failing America and How to Get It Back on Track).
at the end of the day, a core right to self-government is inevitably lost when an unelected Court exercises the authority of judicial review.\footnote{174. See Waldron, supra note 31, at 1394 (“[T]he issue is comparative, and these credentials are not remotely competitive with the democratic credentials of elected legislators.”).}

\section*{IV. BICKEL AND THE TWO CM DIFFICULTIES}

As explained above, Bickel did not distinguish between the two difficulties. However, he was not focused solely on devising a normative justification for the Court’s judicial review authority, as were many legal scholars.\footnote{175. See Anthony T. Kronman, Alexander Bickel’s Philosophy of Prudence, 94 Yale L.J. 1567, 1573 (1985).} Instead, Bickel was also occupied with the threat to the Court’s public support.\footnote{176. BICKEL, supra note 1, at 68 (explaining that the Court needs to consider both principle and expediency); see also ALEXANDER M. BICKEL, THE SUPREME COURT AND THE IDEA OF PROGRESS 90–91 (1970) (stressing the need for “widespread assent” for implementing the Court’s judgments); Christopher J. Peters & Neal Devins, Alexander Bickel and the New Judicial Minimalism, in THE JUDICIARY AND AMERICAN DEMOCRACY: ALEXANDER BICKEL, THE COUNTERMAJORITARIAN DIFFICULTY, AND CONTEMPORARY CONSTITUTIONAL THEORY, supra note 20, at 45, 51 (noting that Bickel recognized that “[t]he Court could not then simply impose its judgments upon an unwilling populace; those judgments had instead to earn acceptance, if not immediately then over time”); KAHN, supra note 62, at 143 (“Instead of seeking to take the Court out of politics, [Bickel] hoped to solve the countermajoritarian difficulty by frankly recognizing the majoritarian basis of the Court’s work.”).} He did not attempt to show that the Court is a majoritarian institution in the sense that it follows public opinion. But he was occupied with the question of what will happen to the Court if it decides against public opinion.\footnote{177. See Kronman, supra note 175, at 1585–86.}

According to Bickel, the Court is a unique institution in that “insofar as is humanly possible,” it “is concerned only with principle” and not with “electoral responsibility.”\footnote{178. BICKEL, supra note 1, at 25–26, 68–69; see also PAUL W. KAHN, PUTTING LIBERALISM IN ITS PLACE 146 & n.4 (2005) (discussing the notion of the Court as the forum of reason).} He portrayed the Justices as having “the leisure, the training, and the insulation to follow the ways of the scholar,” offering a “sober second thought” in their task to pronounce and guard “the enduring values of a society” as opposed to the shifting and short-term public sentiments to which the legislature is responsive.\footnote{179. BICKEL, supra note 176, at 91; BICKEL, supra note 1, at 27–24; see also KAHN, supra note 62, at 144 (discussing Bickel’s theory); Friedman, supra note 101, at 579.} Thus, the Court is not a mere register of public opinion; it serves as a leader of public opinion, a teacher in a national seminar, where “conversations between the Court and the people and their representatives” take place.\footnote{180. BICKEL, supra note 176, at 91; BICKEL, supra note 1, at 26 (“The Justices, in Dean Rostow’s phrase, ‘are inevitably teachers in a vital national seminar.’” (citation omitted)); id. at 239.}
offering a sober and principled second thought is Bickel’s justification for CM judicial review.181

In addition to this attempt to justify the Court’s CM authority, Bickel also focused on confronting dangers that stem from the CM difficulty in its literal sense. As both Bickel and Paul Mishkin recognized, legal discourse follows the logic of professional reason, whereas popular discourse follows the logic of symbolism.182 For example, the logic of legal discourse requires consistency with existing precedents. This requirement seems much less important to the public.183 In other words, principled arguments that are persuasive in legal terms are not necessarily persuasive in the public discourse. Since the intrinsic merit of a legal position is hardly a guarantee of public support, the Court at times confronts the dilemma of whether to decide salient cases against the directives of legal doctrine in order to avoid unpopular decisions (decisions that are CM in the literal sense).

The Court’s decision in Texas v. Johnson184 exemplifies that fidelity to legal doctrine and legal principles alone may not always secure public support. The Court held that burning the American flag was an act of political dissent and hence a protected expression under the First Amendment.185 Subsequently, the State of Texas could not criminalize such act.186 As Akhil Amar writes, the Court’s decision “was plainly right, and even easy—indeed, as right and easy a case in modern constitutional law as any I know.”187

181. See Stanley C. Brubaker, The Countermajoritarian Difficulty: Tradition Versus Original Meaning, in The Judiciary and American Democracy: Alexander Bickel, the Countermajoritarian Difficulty, and Contemporary Constitutional Theory, supra note 20, at 105, 106; Peretti, supra note 20, at 124 (arguing that Bickel’s special justification for “this deviant institution” is “its unique capacity for reasoned elaboration of principle”).


183. See James L. Gibson, Public Images and Understandings of Courts, in The Oxford Handbook of Empirical Legal Research 828, 849 (Peter Cane & Herbert M. Kritzer eds., 2010) (“Perhaps the most surprising finding in these data is the relatively small weight that Americans give to respecting existing Supreme Court decisions (only 37.3% rate it as very important).”).


185. Id. at 399, 420.

186. Id. at 418–20.

However, from the perspective of public support, the holding that flag burning was a constitutionally protected act had obvious potential to stir a harsh public response. The decision received wide media coverage and “was met with virtual hysteria—by the people, in the press, and in the Congress.” A large majority of the public, 73%, disagreed with the decision. One commentator argued, “no Supreme Court decision in recent memory, if ever, was so quickly, bitterly, and overwhelmingly denounced by the American public and political establishment.” Following the Johnson decision and the Webster decision on abortion, there was a “dramatic drop” in public confidence in the Court.

In reaction to the Johnson decision, Congress passed the Flag Protection Act. In June 1990, the Court in U.S. v. Eichman followed its reasoning in Johnson and invalidated the Act as an unconstitutional restraint on free
Once again, polls showed that the vast majority of the American public rejected the decision. The decision in Eichman vividly demonstrates the Bickelian dilemma. The Court had to choose between adhering strictly to the standards of professional legal reasoning, even at the price of going against public opinion, or instead corrupting its principled, professional language in order to resolve the case in a manner suitable for public digestion. Bickel realized that in face of serious popular resistance, the Court may choose the latter option. Saving its public support, the Court would lose its ability to serve as the forum of principle and thus its justification for judicial review. Hence, he suggested that the Court use prudential justiciability doctrines to dismiss certain cases, thus avoiding the corruption of the legal language. These “passive virtues” allow the Court to avoid cases in which preserving the standards of legal expertise would harm its public support.

Thus, Bickel was the first to structure a court-centric theory of judicial review—i.e., one featuring “a highly strategic Supreme Court” that is interested in preserving the quality and efficacy of its decisions as well as its public support. But he did not portray the Court as an institution controlled—in deciding substantive issues—by considerations such as preserving its public support as an institution. On the contrary, the passive-virtues technique aims precisely to save the Court from such a grim destiny. Thus, when the Court decides a volatile case on its merits, rather than use the passive virtues, Bickel insisted that it must make a fully principled decision.

196. 496 U.S. at 317–18.
197. Hanson, supra note 188, at 185–86.
198. See Post & Siegel, supra note 182, at 1487–88, 1496–97 (explaining that Mishkin found this tension “unresolvable”).
199. See BICKEL, supra note 1, at 258.
200. Id. at 69–71.
201. Id. at 58, 69–71 (explaining that passive virtues are “the secret” of the Court’s ability “to maintain itself in the tension between principle and expediency”); see id. at 95–96, 205. Contra KAHN, supra note 62, at 146 (“[Bickel] converts the Court into a political institution that cannot claim a privileged place for law.”).
202. Sanford Levinson, Assessing the Supreme Court’s Current Caseload: A Question of Law or Politics?, 119 YALE L.J. ONLINE 99, 107 (2010) (“[Bickel’s passive] virtues featured a highly strategic Supreme Court. Knowing where it actually wanted to come out on some of the great issues of the day, it self-consciously chose to take, or more to the point, reject certain cases because they didn’t present the best vehicle for winning popular support because the timing just wasn’t right.”); Peters & Devins, supra note 176, at 50, 58 (noting that the Court’s strategy was “an approach motivated by juricentrism—by a desire to preserve the Court’s position as a coequal institution with inherent importance in the constitutional scheme”).
203. See Peters & Devins, supra note 176, at 45–46.
204. BICKEL, supra note 1, at 69 (“When it strikes down legislative policy, the Court must act rigorously on principle, else it undermines the justification for its power.”); id. at 199–200; see also Mark Tushnet, The Jurisprudence of Constitutional Regimes: Alexander Bickel and Cass Sunstein, in THE JUDICIARY AND AMERICAN DEMOCRACY: ALEXANDER BICKEL, THE
The Court’s role and its *raison d’être* is after all, in his view, to decide cases in a principled manner.  

However, only on rare occasions should the Court act contrary to public opinion and endorse controversial principles, acting then as both a “shaper and prophet of the opinion that will prevail and endure.”206 In order not to lose its public support, the Court must pick these occasions carefully. There is a limit to the number of principles pronounced by the Justices contrary to public opinion that the public is willing to tolerate and that the political institutions are willing to execute. In Gerald Gunther’s words, Bickel insisted that the Court be one hundred percent principled twenty percent of the time.207 A Court that does not adhere to this limit will soon discover that its decisions are not executed and its institutional survival is endangered. In view of this danger, the Court “labors under the obligation to succeed,” and to do so, “in a rather immediate foreseeable future.”208

At the end of the day, with neither sword nor purse, the Court, according to Bickel, has only public confidence that is based both on its “mystic prestige” and on society’s “readiness to receive principle from the Court.”209 Thus, Bickel’s story ends on a sad note. In a later work, he wrote that he had “come to doubt in many instances the Court’s capacity to develop ‘durable principles,’ and to doubt, therefore, that judicial supremacy can work and is tolerable in broad areas of social policy.”210

---

205. *Bickel*, supra note 1, at 188.
206. *Id.* at 239.
208. *Bickel*, supra note 1, at 239. (“The Court is a leader of opinion, not a mere register of it, but it must lead opinion, not merely impose its own . . . .”); see *Bickel*, supra note 176, at 94–95; *Introduction, Arthur Garfield Hays Conference: The Proper Role of the United States Supreme Court in Civil Liberties Cases*, 10 WAYNE L. REV. 457, 476 (1964) (“[A] court that decided the equivalent of five cases such as *Brown v. Board of Educ.* in a single year would have seen the end of the institution, I am sure.” (footnote omitted)); *Golove*, supra note 79, at 83.
209. *Bickel*, supra note 1, at 204, 252; *see also Bickel*, supra note 176, at 94 (“The Court’s effectiveness, it is often remarked, depends substantially on confidence, on what is called prestige.”).
V. VISIBLE CASES

The CM difficulty in its literal sense is restricted to high-salience issues. No viable public opinion is likely to emerge on low-salience issues. Thus, the Court cannot decide cases in a literally CM manner on issues that are obscure to the public.211 Only in relatively few cases that deal with salient issues and receive media coverage can the literal CM difficulty emerge.212

The media has a prominent role in determining which cases will become CM in the literal sense. First, since, as Chief Justice Earl Warren noted, “the public cannot be expected to read the opinions themselves [and] must depend on newspapers, periodicals, radio, and television for its information,” a case cannot become visible to the public without media coverage.213 Second, media coverage does not only reflect the Court’s decisions; it reconstructs the Court’s decisions according to the media’s criteria.214 Thus, a case can become CM in the literal sense if it is covered in a manner that creates a stir in public opinion even if from an internal legal perspective the case is not controversial (an “easy case”). Similarly, public support for the Court as an institution is also dependent to a large extent on the manner in which the media covers the Court.215 The Court’s “symbolic image” as it is constructed by the media rather than only the content of its decisions may prove crucial for the emergence of the CM difficulty in the literal sense.216

For most of the theorists who offer justifications for the Court’s CM authority (hereinafter “justification theorists”), the question of whether a case

211. See MARSHALL, supra note 17, at 124 (arguing that media exposure sets limits on how much the Court’s decision can influence public opinion).
212. See, e.g., Friedman, supra note 6, at 2620–23 (“Only a small number of the cases attract the sort of media attention that cause them to stick in the public consciousness.”); Richmond Newspapers, Inc. v. Virginia, 448 U.S. 555, 572–73 (1980) (plurality opinion) (“Instead of acquiring information about trials by firsthand observation or by word of mouth from those who attended, people now acquire it chiefly through the print and electronic media.”).
215. See Kelli L. Sager & Karan N. Frederiksen, Televising the Judicial Branch: In Furtherance of the Public’s First Amendment Rights, 69 S. CAL. L. REV. 1519, 1540 (1996) (quoting Justice Frankfurter who claimed that “the public confidence in the judiciary hinges on the public’s perception of it, and that perception necessarily hinges on the media’s portrayal of the legal system”).
216. See, e.g., CHOPER, supra note 34, at 139 (“The fortress of judicial review stands or falls with public opinion and the Court’s symbolic image is not forever indestructible.”); James L. Gibson, Judicial Institutions, in THE OXFORD HANDBOOK OF POLITICAL INSTITUTIONS 514, 526–27 (R.A.W. Rhodes et al. eds., 2006) (suggesting that exposure to courts is typically associated with exposure to legitimizing symbols of courts thereby contributing to their legitimacy).
is visible to the public or not is immaterial.\textsuperscript{217} First, the question of public support is not at the center of their theories. Their theories are mostly focused on the normative justifications of the Court’s decisions and these justifications, according to most of these theories, are unrelated to public opinion. Second, justification theorists either view the question of public support as irrelevant or assume that public support will be achieved as a direct byproduct of the Court’s adherence to their normative theory. Exhibiting its expertise in the realm of rights or constitutional history will award the Court with public support, or so it is assumed.

Fredrick Schauer’s claim that the scholarly tendency to focus on visible cases “distorted the debates about judicial review and judicial supremacy,”\textsuperscript{218} is perhaps correct as long as the discussion is restricted to the CM difficulty in the traditional sense. Yet, for the discussion of the CM difficulty in its literal sense, only these exceptional cases are relevant. These cases are “hard cases” for the Court according to the CM difficulty in its literal sense. Non-salient cases are “easy cases” according to this logic since the Court can “go its own way” in deciding these cases, adhering strictly to its chosen justification theory.\textsuperscript{219}

VI. JUDGMENT VS. PUBLIC CONFIDENCE

In the Federalist No. 78, Alexander Hamilton famously proclaimed that “[t]he judiciary on the contrary has no influence over either the sword or the purse . . . . It may truly be said to have neither Force nor Will, but merely judgment; and must ultimately depend upon the aid of the executive arm even for the efficacy of its judgments.”\textsuperscript{220} According to Hamilton, the government’s support, essential for the efficacy of the Court’s rulings, is acquired because the executive branch acknowledges the value of the Court’s judgment.\textsuperscript{221} Thus, Hamilton based the Court’s power “merely” on its judicial expertise.

\textsuperscript{217} However, some justification theorists did devise mechanisms to confront issues of public support, and thus were not oblivious to questions of visibility. For example, as elaborated above, Bickel devised his “passive virtues” to prevent the ill effect of certain kind of visible cases. \textit{See supra} Part IV.

\textsuperscript{218} Frederick Schauer, \textit{The Supreme Court, 2005 Term—Foreword: The Court’s Agenda - and the Nation’s}, 120 HARV. L. REV. 4, 11–12 (2006).

\textsuperscript{219} \textit{Cf.} Friedman, \textit{supra} note 96, at 377 (“The Court also has a better chance of going its own way in cases that are of low public salience.”); Whittington, \textit{supra} note 100, at 121–22 (“Elected officials may tolerate and even favor judicial authority when the courts operate in issue areas of relatively low political salience.”). \textit{Contra} Giles, Blackstone & Vining, \textit{supra} note 114, at 302, 304 (detailing empirical data showing that public opinion effects the justices more in non-salient cases than in salient cases).

\textsuperscript{220} \textit{The Federalist No. 78}, at 523 (Alexander Hamilton) (Jacob E. Cooke ed., 1961).

\textsuperscript{221} \textit{Id}. 
Almost two hundred years later, Justice Felix Frankfurter wrote in his dissenting opinion in *Baker v. Carr*, “[t]he Court’s authority—possessed of neither the purse nor the sword—ultimately rests on sustained public confidence in its moral sanction.”\(^{222}\) Other Justices have repeated this idea in various formulations.\(^{223}\) Though at first glance Justice Frankfurter’s argument seems like a paraphrase of Hamilton’s dictum in the Federalist No. 78, it is different in an important way. Justice Frankfurter wrote that the Court’s power relies on “public confidence” in the Court’s “moral sanction”\(^{224}\) rather than “merely” on its “judgment.”\(^{225}\) The Court’s power lies then not “merely” in its expertise but in its ability to recruit public support.

In fact, Justice Frankfurter’s argument is more reminiscent of an argument made two years before the *Baker* decision by Charles Black. In his book *The People and The Court*, Black explained that judicial review would have been impossible “if public opinion had rejected it . . . because such an institution, founded in the end only on moral authority, could never have had the strength to prevail in the face of resolute public repudiation of its legitimacy.”\(^{226}\)

Hamilton’s view represents well the negative view of popular opinion held by many of the founders.\(^{227}\) Based on this view, Justices were given life tenure...
and salary protection to ensure their ability to resist shifts in the popular opinion and to reject majoritarian policies that run counter to the Constitution. The Court was viewed as one among several CM mechanisms that exist in the Constitution. In this spirit, Hamilton described judicial independence as “an essential safeguard against the effects of occasional ill humours in the society.”

Indeed, many of the Framers envisioned the Constitution as a constraint on majoritarian desires, binding the people to the right track. The judiciary’s role is to serve as a “safeguard” that ensures the Constitution’s binding effect. The judiciary is able to fulfill this role because it holds legal expertise required for interpreting a legal document such as the Constitution. In view of the “laborious study” required to “acquire a competent knowledge” of the law, Hamilton explained that only “few men . . . will have sufficient skill in the laws to qualify them for the stations of judges.”

The various justifications for the Court’s CM authority that were presented above are in line with Hamilton’s dictum. According to each of these justifications, the Justices hold a certain unique knowledge, training, or position that allows them to produce the correct constitutional judgment. Yet while according to Hamilton, the Court’s expertise is restricted to the domain of legal doctrine, justification theorists understand that in view of the indeterminate nature of many constitutional norms, legal expertise cannot serve as the sole source for judgment. Thus, whether judicial expertise is in

condemned the evil of faction and expressed fear of the tyranny of the majority.”); 2 JAMES BRYCE, THE AMERICAN COMMONWEALTH 926 (1995) (1888) (arguing the Founders “conceived of popular opinion as aggressive, revolutionary, unreasoning, passionate, futile, and a breeder of mob violence”); Eule, supra note 20, at 1522–23 (describing the Framers’ distrust of majorities).

228. MARSHALL, supra note 93, at 1; FARBER & SHERRY, supra note 227, at 103–04 (according to the founders’ beliefs, “one function of the Supreme Court is to preserve that venerable document [the Constitution] from the onslaughts of a temporarily impassioned majority”); ACKERMAN, supra note 5, at 33 (“The Founders disagreed—building on their colonial experience, they took strong measures to protect the judges from political pressures.”).

229. See Friedman, supra note 101, at 620.

230. THE FEDERALIST NO. 78, supra note 220, at 528.

231. 1 THE RECORDS OF THE FEDERAL CONVENTION OF 1787, at 421 (Max Farrand ed., 1937) (documenting Madison’s view that the role of constitutional constraints is “to protect [the people] agst. the transient impressions into which they themselves might be led”).

232. ACKERMAN, supra note 5, at 33 (“The only expertise the Founders recognized was of the legal variety . . . .”); ADRIAN VERMEULE, LAW AND THE LIMITS OF REASON 16–17, 21 (2009) (criticizing Hamilton’s “idea that the courts embody ‘judgment,’ while the legislative and executive branches embody ‘will’”).

233. THE FEDERALIST NO. 78, supra note 220, at 529.

234. See supra Part II.

235. See, e.g., L.H. LaRue, Neither Force Nor Will, 12 CONST. COMMENT. 179, 181 (1995) (“I do not recall anyone arguing [in recent controversies over judicial appointments] that a deep, scholarly knowledge of the precedents was the fundamental prerequisite for the job. Why not?
the realm of enunciating values and articulating principles for the long term as Bickel suggests,236 or in the realm of constitutional history as Ackerman and the originalists proclaim,237 according to all these justification theorists, Justices hold expert abilities that are not restricted merely to the realm of legal doctrine.

But Hamilton’s position was not only a result of his distrust of the multitude, he also had serious doubts as to whether Justices would be able to muster public support. Arguing against assigning Supreme Court Justices a role in the impeachment process, Hamilton wrote that “it is still more to be doubted, whether they would possess the degree of credit and authority, which might, on certain occasions, be indispensable, towards reconciling the people to a decision, that should happen to clash with an accusation brought by their immediate representatives.”238

At least in the early days of the Republic, one can find indications that Hamilton’s prediction was correct.239 For example, after Chief Justice Oliver Ellsworth fell ill, President John Adams turned to John Jay, asking him to return to the position. Jay refused the appointment, explaining that the Court labored under a judicial system so defective that it could never “obtain the energy, weight, and dignity which are essential to its affording due support to the national government, nor acquire the public confidence and respect which, as the last resort of the justice of the nation, it should possess.”240 In the aftermath of the McCulloch decision, Ellsworth’s successor, Chief Justice Marshall, also acknowledged the truth of Hamilton’s observations. In response

Because none of us believes that our judges are ‘bound down by strict rules and precedents which serve to define and point out their duty in every particular case that comes before them.’ None of us believes that Hamilton has correctly described the type of judiciary, the type of judges, that we live with today.”); Or Bassok, The Sociological-Legitimacy Difficulty, 26 J.L. & Pol. 239, 247–53 (2011) (discussing the influence of the rise of the indeterminacy difficulty on the decline in the belief in legal expertise).

236. BICKEL, supra note 1, at 24, 58 (“[T]he root idea is that the process [of judicial review] is justified only if it injects into representative government something that is not already there; and that is principle . . . .”); id. at 68 (“The constitutional function of the Court is to define values and proclaim principles.”); id. at 188; BICKEL, supra note 176, at 175 (“The judicial process is too principle-prone and principle-bound—it has to be, there is no other justification or explanation for the role it plays.”).

237. See FARBER & SHERRY, supra note 227, at 98; BERGER, supra note 74, at 8–9, 18.

238. THE FEDERALIST NO. 65, supra note 220, at 441 (Alexander Hamilton); see also CHOPER, supra note 34, at 140.

239. Friedman, supra note 101, at 623 (“Not only did the Framers call the Supreme Court the ‘least dangerous’ branch, but its prestige seemed to mirror this assessment of its power.” (footnote omitted)).

to Virginia’s attack on the judgment, Marshall wrote (under a pseudonym), “[t]he judicial department, being without power, without patronage, without the legitimate means of ingratiating itself with the people, forms the weakest part.”

But after a while, the doubts regarding the Court’s ability to acquire public support were “dispelled.” In the current era, consistent empirical data demonstrates that since the 1970s, the public, though disagreeing at times with specific judgments, has mostly awarded the Court with a steady and relatively high level of confidence.

One may argue that the difference between Hamilton’s famous dictum and Frankfurter’s less famous one is merely a difference of paraphrasing of the same truism. On the one hand, allegedly every institution requires public confidence to function properly. This was a well-known premise even at the time Hamilton wrote the Federalist No. 78. Even with regards to the Court, it was known well before the invention of scientific public polling that its power “is moral, not physical; it operates by its influence, by public confidence in the soundness and uniformity of the principles on which it acts.” On the other hand, to recruit public confidence, the Court must rely on its expertise. After all, it lacks the sword and the purse. Thus, even at the time of the framers, so the claim goes, it was well understood that the basis of the Court’s power is public confidence, and the way to gain that confidence was through demonstrating expertise.

241. FRIEDMAN, supra note 96, at 83.
242. See, e.g., BICKEL, supra note 1, at 129–30 (“Indeed, Jefferson, when writing to the Justices for their advisory opinion, spoke for a President who wanted their support because ‘their authority [would] insure the respect of all parties.’ The Court’s high ‘degree of credit’ is a fact of life, and has been at least since McCulloch v. Maryland was decided . . . .”).
244. See, e.g., GORDON S. WOOD, THE CREATION OF THE AMERICAN REPUBLIC 1776–1787, at 135 (1972) (“In classical Whig thought all rulers, whether English kings or Venetian doges, supposedly derived their powers ultimately from the people; election only made explicit what was always implicit.”); id. at 612 (discussing the eighteenth century view that all power was “derived from public opinion”).
245. Holmes v. Jennison, 39 U.S. 540, 618 (1840); see also United States v. Lee, 106 U.S. 196, 223 (1882) (“While by the Constitution the judicial department is recognized as one of the three great branches among which all the powers and functions of the government are distributed, it is inherently the weakest of them all. . . . [W]ith no patronage and no control of the purse or the sword, their power and influence rest solely upon the public sense of the necessity for the existence of a tribunal to which all may appeal for the assertion and protection of rights guaranteed by the Constitution and by the laws of the land, and on the confidence reposed in the soundness of their decisions and the purity of their motives.”).
246. Moreover, some scholars suggest that during the early days of the Republic, the Court’s power was based on adherence to public opinion. See, e.g., LARRY D. KRAMER, THE PEOPLE THEMSELVES: POPULAR CONSTITUTIONALISM AND JUDICIAL REVIEW 60–63 (2004) (“Not
Yet exactly here lies the explanatory power of the distinction between the two CM difficulties, as it allows us to flesh out an important and delicate insight on the basis of the Court’s power. According to the “merely judgment” thesis, the basis of the Court’s power is grounded in the Justices’ expertise in applying a justification theory. The emphasis is on the Court’s adherence to the directive of its expert knowledge.\textsuperscript{247} Public confidence in the Court is assumed to be a mere contingent byproduct of such adherence.\textsuperscript{248} Moreover, as long as the executive is persuaded by the Court’s expertise and the system of governance as a whole possesses public confidence, public support of the Court is immaterial.\textsuperscript{249} The Constitutional Court of South Africa seems to present a current example of a court which lacks public confidence and whose power relies on its ability to persuade the dominant political party of its legal expertise. As Theunis Roux explains, in view of the domination of a single political party in South Africa, the Constitutional Court can function properly over time even without public confidence.\textsuperscript{250} The Constitutional Court can ignore public opinion as a limit to its adherence to legal expertise, provided that the dominant political party is persuaded that the Constitutional Court adheres to the directive of legal expertise.\textsuperscript{251}


\textsuperscript{248} See, e.g., Benjamin N. Cardozo, The Nature of the Judicial Process 35 (1921) (“Only experts perhaps may be able to gauge the quality of his work and appraise its significance. But their judgment, the judgment of the lawyer class, will spread to others, and tinge the common consciousness and the common faith.”).

\textsuperscript{249} Fiss, supra note 59, at 38 (“Legitimacy does not depend on popular approval of the institution’s performance . . . . It is the legitimacy of the political system as a whole that depends on the people’s approval, and that is the source of its democratic character.”).


\textsuperscript{251} Id. at 120 (arguing that the Court made clear in its second case that “its claim to legitimacy would be based on strict adherence to the law/politics distinction”); id. at 138 (noting “the CCSA’s reputation for legally credible decision making lending . . . the ANC government’s continued respect for, and obedience to, the CCSA’s decisions”).
Indeed, scholars today are too quick in switching from Hamilton’s dictum to the conclusion that the Court’s power is based on public confidence. They thus fail to detect the shift in the understanding of the Court’s power that is partly the result of the rise of the opinion polls culture.

In the era before the invention of public opinion polls, all the Court had was indeed “mere” expertise. Besides elections, no other source of data could give direct, regular, and reliable measurements of public opinion. The public attitude toward the Court could have been deduced only indirectly, in a crude and inexact manner from the rare occasions when the Court was an issue in national presidential campaigns. As long as the elected branches were perceived as the central representation of public opinion, their attacks on the Court have served as the major informative signal on the Court’s waning public support. The elected players could thus always claim to hold public support for their position and there was no accepted public indicator to repute their claim.

252. See, e.g., CLARK, supra note 157, at 67 (“Indeed, at least since Alexander Hamilton wrote in Federalist #78 that the Court is ‘possessed of neither force nor will, but merely judgment,’ students of American government have recognized that the Court is limited in its efficacy by the necessity of public and political will to give its decisions force.”).

253. ROBERT S. ERIKSON, NORMAN R. LUTTBEG & KENT L. TEDIN, AMERICAN PUBLIC OPINION: ITS ORIGINS, CONTENT, AND IMPACT 23 (2d ed. 1980) (“Before the advent of public opinion polls in the early 1930s, one had to rely on much more inexact measures of what the public was thinking. . . . But the most relied upon method of assessing public opinion prior to the opinion poll was the interpretation of election results, and the occasional referendum that managed to find its way onto the ballot.”); ADAM J. BERINSKY, SILENT VOICES: PUBLIC OPINION AND POLITICAL PARTICIPATION IN AMERICA 6 (2004) (“At an empirical level, there is a general agreement on one point among academics and professionals, be they proponents or opponents of the polling enterprise: opinion polls are broadly representative of popular sentiment.”).

254. See Rosenberg, supra note 155, at 380, 384–86 (stating the 1860, 1896, 1924 and 1964 presidential campaigns at least partly focused on the proper role for the Court); see also OWEN M. FISS, 8 HISTORY OF THE SUPREME COURT OF THE UNITED STATES: TROUBLED BEGINNINGS OF THE MODERN STATE, 1888–1910, at 3–5 (2006) (noting the Court has been a major subject in the presidential elections of 1896 and 1912); FRIEDMAN, supra note 96, at 177–80 (arguing that during the Lochner period, “[j]udicial Review was a major issue in three presidential campaigns”). Friedman’s three are the 1896, 1912, and 1924 elections. Id. at 177–80.

255. CLARK, supra note 157, at 71, 80, 255–56 (“[S]pecifically, I have sought to demonstrate that congressional attacks on the Court can be interpreted as institutional signals about public opinion.”).

256. For example, in 1935, Arthur Krock reported that based on “letters” sent to President Roosevelt by private citizens, FDR had “strong evidence that a vast aggregation of people do not think that the Supreme Court…has either the legal or moral right” to thwart the administration’s decision to regulate money in a certain manner. Arthur Krock, Gold Ruling Effects Weighed at Capital, N.Y. TIMES, Feb. 24, 1935, at E3. In a reality in which public opinion polls are considered as the authoritative democratic legitimator and these polls show strong public support for the Court, such inference would be unavailable.
people’s delegate and the Justices were seen as representatives of the people,257 no independent public indicator could confirm this claim to public legitimacy when a clash with the elected branches occurred.

The ability to track public support for the Court, the public record of this support (often published by popular media), and the scientific allure of opinion polls made public confidence in the Court more “real” in public imagination.258 The existence of a metric measuring public support of the Court, a metric that is central to political players’ own understanding of their power,259 opened new paths for understanding the Court’s basis of power. Now the political branches may enforce the Court’s decisions not due to its expertise—as if they were the patient doing as the doctor ordered260—but due to the public support of the Court.261 Indeed, even when the Court is perceived to act politically i.e., not according to its expertise, as long as it holds public confidence, political resistance to its decisions seems unfeasible. Public opinion is the drive wheel of American politics, and no politician wants to stand against it.262

257. See for example during the early days of the republic: Wood, supra note 244, at 161 (“[M]ost of the early constitution-makers had little sense that judicial independence meant independence from the people.”); id. at 448–49, 456, 460 (describing the position that “[t]he judges were in a sense as much agents of the people as the legislator”); id. at 546–49, 598 (“Therefore all governmental officials, including even the executive and judicial parts of the government were agents of the people, not fundamentally different from the people’s nominal representatives in the lower houses of the legislatures.”); Stephen M. Engel, American Politicians Confront the Court: Opposition Politics and Changing Responses to Judicial Power 72, 99, 133, 74–77 (2011) (“Taking the Constitution to be an artifact of popular sovereignty and judges as representatives of the people are cornerstones of judicial review’s original legitimacy.”); id. at 84–85 (noting that during the Founding generation, the dominant understanding was the “judicial interpretation should accord with the popular will since the Constitution is, by definition, an act of popular sovereignty”); id. at 104, 282.

258. See, e.g., Fried & Harris, supra note 14, at 323 (arguing that public opinion itself became more “real” making it a political source of legitimacy).

259. See Zizi Papacharissi, The Virtual Sphere 2.0: The Internet, the Public Sphere, and Beyond, in ROUTELDE HANDBOOK OF INTERNET POLITICS 230, 233 (Andrew Chadwick & Philip N. Howard eds., 2009) (“[P]oliticians, opinion leaders, and the media frequently rely on aggregations of public opinion obtained through polls . . . .”).

260. Cf. Peters (1995), supra note 12, at 5 (“Even today judges and physicians render legal or medical opinions: we are to understand that deciding a case or diagnosing an illness are acts of expert judgment, not of guaranteed truth. To give an opinion, one must be an authority.”); Kain, supra note 247, at 126 (“A judge’s knowledge of the rule of law functions analogously to a doctor’s knowledge of health. . . . Power flows from knowledge . . . .”).


262. See James A. Stimson, Tides of Consent: How Public Opinion Shapes American Politics xv–xvii (2004) (“Public opinion matters. . . . Its power is that it points always to the future, telling those whose careers and strategies depend on public support that success depends on being with the tide, not against it.”).
In the view of these changes, the Court may try to maintain its power as an institution by dissolving the CM difficulty in its literal sense. In a reality in which the public has partly lost its belief in Justices as legal experts, adhering to public opinion rather than to the directives of an expertise-based justification theory may seem as the only viable tactic to ensure that the Court can maintain its public support. Indeed, deciding according to the directives of expertise-based justification theory does not necessarily bring public support, especially if the public has lost its faith in legal expertise. At times, deviating from the correct expert “judgment” may be the only way to ensure public support. Justice Frankfurter fiercely objected to the idea of a Court directed by public opinion, naming it “despotic,” yet his idea of “public confidence” as the source of the Court’s power may lead in the end to judicial capitulation to public opinion.

VII. THE CM DIFFICULTY IN ITS LITERAL SENSE GOES ABROAD

One of the few truisms in the field of comparative constitutionalism is that the scholarly obsession with the CM difficulty is a uniquely American phenomenon. It is not as important, so the claim goes, to the constitutional


264. Cf. ENGEL, supra note 257, at 15 (”[T]he Court has recently striven to justify its authority of majoritarian grounds. Justices have emphasized the democratic credentials of their holdings, stressing how they follow majoritarian trends, however defined, evident in the broader polity.”); id. at 282 (Due to the decline of the belief in a fix and singular legal meaning, “the Court seemed to lose any special claim to interpretive authority.”); Friedman, supra note 101, at 601 (”At any rate, examining the sources of constitutional decision makes increasingly apparent the extent to which judges seek to appeal to majoritarian values, if not to rely upon them entirely.”); Morton J. Horwitz, The Supreme Court, 1992 Term—Foreword: The Constitution of Change: Legal Fundamentality Without Fundamentalism, 107 HARV. L. REV. 30, 40 (1993) (explaining that “[t]he joint opinion in Casey” that focused on the decision’s effects on the public support of the Court, “may also be symptomatic of a crisis of legitimacy in constitutional thought in which the generally accepted paradigms and modes of thought are no longer felt capable of yielding convincing solutions to constitutional questions”).

265. Am. Fed’n of Labor v. Am. Sash & Door Co., 335 U.S. 538, 557 (1949) (Frankfurter, J., concurring) (”Mr. Dooley’s ‘th’ Supreme Coort follows th’ iliction returns’ expressed the wit of cynicism, not the demand of principle. A court which yields to the popular will thereby licenses itself to practice despotism, for there can be no assurance that it will not on another occasion indulge its own will.”).

266. See, e.g., Alec Stone Sweet, Why Europe Rejected American Judicial Review—and Why It May Not Matter, 101 MICH. L. REV. 2744, 2779–80 (2003) (“Americans grapple with, but never finally resolve, the ‘countermajoritarian’ problem . . . . European constitutions expressly provide for review and for the supremacy of constitutional courts with respect to constitutional interpretation. European academics and constitutional judges will state as much in one breath,
discourse in other countries where national high courts play a central role.\textsuperscript{267} The distinction between the two CM difficulties exposes that while the traditional difficulty may indeed play only a marginal role in the scholarly debates over the role of national high courts outside of the United States,\textsuperscript{268} the CM difficulty in its literal sense is very much present in those debates.

Current comparative theories present the worldwide phenomenon of judicial empowerment as the work of political, economic, and judicial elites securing their interests over the preferences of the multitude.\textsuperscript{269} Based on “bottom-up” inquiry, Ran Hirschl argues that political elites, in association with economic and judicial elites, support constitutionalization and the shift towards “juristocracy” in order to preserve or enhance their political

\textsuperscript{267}. See, e.g., Donald P. Kommers, \textit{German Constitutionalism: A Prolegomenon}, 40 EMORY L.J. 837, 843 (1991) (“[T]he so-called ‘counter-majoritarian difficulty,’ the term Alexander Bickel used to describe the root problem of judicial review in America, is not a major problem in Germany.”).

\textsuperscript{268}. The traditional difficulty is present in the debate over the power of regional courts such as the European Court of Justice. However, the term used to describe the problem of unaccountability, at least in the European context, is “democratic deficit” rather than the CM difficulty.

hegemony. Since public support for the policy preferences of the elites is weakening, these threatened elites transfer power from majoritarian decision-making arenas to unelected institutions such as national high courts thus ensuring the preservation of their hegemony.

Robert Bork contends that courts are “enacting the agenda of the cultural left” in “the culture war in every Western nation” against the “great mass of citizens who, left to their own devices, tend to be traditionalists.” Being a “political minority,” the cultural left, or as Bork titles them the “New Class,” loses elections. Hence, in order “to avoid the verdict of the ballot box,” and in order to “outflank majorities and nullify their votes,” the “New Class” empowers national high courts that tend to adopt the values of these elites.

Hirschl and Bork agree that as a descriptive matter, in the countries they examined, national high courts have entrenched the policies of the elites against public preferences. These courts thus decide in a literally CM fashion. Thus, while Hirschl is correct in arguing that his juristocracy theory is not preoccupied “with the well-rehearsed normative debate over the ‘countermajoritarian’ nature of judicial review,” his theory, as well as Bork’s, is modeled according to the CM difficulty in its literal sense. Hirschl describes courts deciding cases contrary to the majority’s will.

Bork and Hirschl mainly disagree on the values that guide the elites in their endeavor to transfer fundamental public, political and moral disputes to courts. While Hirschl claims that judicial empowerment is an “institutional solution” for promoting a neo-liberal agenda of “open markets, economic deregulation, anti-statism, and anticolonlectivism,” Bork argues that judicial empowerment

270. HIRSCHL, supra note 269, at 11; see also id. at 75, 78, 80–81 (Canada), 88–89 (New Zealand), 92–95 (South Africa).

271. Id. at 12, 16, 40 (“Accordingly, a strategic, political-power-oriented explanation for voluntary, self-imposed judicial empowerment through the constitutionalization of rights and the establishment of judicial review suggests that political power-holders who either initiate or refrain from blocking such reforms estimate that it enhances their absolute or relative political power vis-à-vis rival political actors.”); id. at 49, 98–99.

272. BORK, supra note 269, at 2 (describing the struggle between the “elite” and “the general public”).

273. Id. at 5–6.

274. Id. (“The judiciary is the liberals’ weapon of choice.”); id. at 9–10 (“The ideas and values of the New Class are part of the furniture of most judges’ minds and seem self-evident.”); id. at 109 (“They are speaking for values of the New Class that have not yet found, and perhaps never can find, favor in the legislature.”).

275. HIRSCHL, supra note 269, at 3 (emphasis added).

276. Id. at 12, 43–44 (arguing that elites promote through the judiciary an agenda of “[r]elative cosmopolitanism, open markets, formal equality, and Lockean-style individual autonomy”); id. at 118 (“All four national high courts . . . fortify and expand the boundaries of the private sphere . . .”); id. at 146–48.
is actually aimed to promote the values of “eclectic socialism” held by “the cultural or liberal left.”

But here is the puzzle: While these and other leading accounts explain the rise of judicial power as an elite endeavor against the populus, opinion polls consistently show that many national high courts receive high public support as institutions. If national high courts act in the name of elites “insulating policy making in general, and their policy preferences in particular, from the vicissitudes of democratic politics,” how is it that these courts have received over a long period of time such high public institutional support? If these courts have been preserving the interests of elites whose public support is eroding, if “an activist judiciary helps to advance the ends that democratic branches of government would never sanction,” how do these courts succeed

277. BORK, supra note 269, at 2–3, 8 (“In a word, courts in general have enlisted on the liberal side of the culture war. They are infected, as is the New Class to which judges belong and to which they respond, with the socialist impulse.”); id. at 10 (“Everywhere judicial review has taken root, activist courts enforce New Class values, shifting the culture steadily to the left.”); id. at 31 (“The Left wants expanded judicial review in the name of rights . . . .”).

278. James L. Gibson, Gregory A. Caldeira & Vanessa A. Baird, On the Legitimacy of National High Courts, 92 AM. POL. SCI. REV. 343, 344 (1998) (surveying data on public support of national high courts from eighteen countries); ALEC STONE SWEET, GOVERNING WITH JUDGES: CONSTITUTIONAL POLITICS IN EUROPE 139 (2000) (noting that in Europe constitutional courts have high “institutional legitimacy”); SADURSKI, supra note 167, at 33 (“[It] is indisputable that the constitutional courts in the region discussed here [Central and Eastern Europe] enjoy a high level of social acceptance, despite occasional disagreements with and criticisms of particular decisions. They do not, therefore, have a problem with ‘legitimacy’ in the sense of a general public acceptance of their authority to do what they are doing—including the invalidation of statutes.”); Law, supra note 21, at 791 (“In the US and elsewhere, courts known for striking down supposedly majoritarian legislation have nevertheless enjoyed high levels of public support that put other government institutions to shame.”); F.L. MORTON & RAINER KNOFF, THE CHARTER REVOLUTION & THE COURT PARTY 17 (2000) (“In the [1987 and 1999 national surveys in Canada], 62 per cent expressed greater confidence in courts and judges than in legislatures and politicians when it came to having the final say on rights issues.”). However, as noted above, the Constitutional Court of South Africa enjoys a very low level of public support. Roux, supra note 250, at 107. While Bork and Hirschl do not examine courts in Latin America, it is interesting to note that the rise in judicial power in Latin America has occurred while these courts suffer from a low level of public support. See Gretchen Helmke, Public Support and Judicial Crises in Latin America, 13 U. PA. J. CONST. L. 397, 398 (2010) (“One of the most widely touted facts about the rule of law gap in Latin America is how poorly the public regards the judiciary.”); GRETCHE N HELMKE, COURT UNDER CONSTRAINTS: JUDGES, GENERALS, AND PRESIDENTS IN ARGENTINA 1–2 (2005) (“In Latin America, judges today are less popular than presidents, the military, or the police. . . . Latin America’s courts have become vitally important political institutions.”).

279. HIRSCHL, supra note 269, at 11–12; see also id. at 98–99; BORK, supra note 269, at 6 (“Democracy and the rule of law are undermined while culture is altered in ways the electorate would never chose.”).

280. HIRSCHL, supra note 269, at 12.

281. BORK, supra note 269, at 11; see also id. at 77–78 (referring to the U.S. Supreme Court).
in preserving their public support over a long period of time? In other words, if national high courts consistently decide cases against public preferences, i.e., deciding literally in a CM fashion, how is it that these courts remain majoritarian institutions in the literal sense? This fascinating puzzle has yet to receive any elaborate scholarly attention.282

**CONCLUSION: THE TWO CM DIFFICULTIES IN THE TWENTY-FIRST CENTURY**

The introduction of public opinion polls created substantial changes in American political thought.283 The manner in which we understand the CM difficulty is a vivid example of these changes. For many years, the clash between constitutionalism and popular sovereignty, also known as the CM difficulty, was understood as a problem of unaccountable judges who invalidate legislation enacted by electorally accountable representatives. Opinion polling introduced quantitative results of opinion surveys as a new form of popular sovereignty.284 As a result, in recent years, constitutional scholars have attempted to discard any understanding of the difficulty which cannot be measured. Thus, many of them, influenced by social science studies of the Court, formulate the difficulty in completely measurable terms, as a clash between public opinion, measured in opinion polls and the Court’s decisions.285 This formulation of the difficulty reduces popular sovereignty to mere responsiveness to public opinion and dismantles the presumed connection between popularly elected legislators and majority will.286 It fits well the American tendency, stretching back to the early days of the republic, of

---

282. One answer to this puzzle is “give it time.” According to this answer, it takes time for the public to digest the change in the courts’ function. As soon as the public acknowledges the shift, public confidence in the courts will decline rapidly. Another explanation is “blame the media.” According to this explanation, the media presents the rise of judicial power as a positive development thus effecting public perception. Both of these explanations are backed by the Israeli experience. The Israeli Supreme Court, that served as “exhibit A” in many of the accounts on the rise of judicial power, has experienced in recent years a sharp decline in its public support. For an elaboration of these and other explanations in the Israeli case see Or Bassok, *Television Coverage of the Israeli Supreme Court 1968–1992: The Persistence of the Mythical Image*, 42 ISR. L. REV. 306, 307–08 (2009); MENACHEM MAUTNER, LAW AND THE CULTURE OF ISRAEL 154–169 (2011).

283. **Cf.** JAMES S. FISHKIN, *THE VOICE OF THE PEOPLE: PUBLIC OPINION AND DEMOCRACY* 91–92 (1995) (arguing that the American political system was transformed by a new method of assessing public attitudes, i.e. the opinion polling).


285. See PAUL W. KAHN, *POLITICAL THEOLOGY: FOUR NEW CHAPTERS ON THE CONCEPT OF SOVEREIGNTY* 12 (2011) (“In place of the popular sovereign, the political scientist today speaks of popular majorities and of the forces that effect electoral politics—all measurable entities.”).

286. See GINSBURG, *supra* note 269, at 1 (“The superior position of the popularly elected legislature and its corollary of majority rule have been central principles for democratic revolutionaries since the notion was appended to the unwritten English constitution.”).
understanding democracy in populist and majoritarian terms.\textsuperscript{287} In the current “public opinion culture,” the role of majority opinion, as measured by public polls, has become even more central. Now it is indeed “the master of servants who tremble before it.”\textsuperscript{288}

While many constitutional theorists continue to devise normative justifications for judicial review,\textsuperscript{289} other theorists have taken a new path. Dissolving the CM difficulty became a major tactic for confronting the difficulty.\textsuperscript{290} Rather than conceptualizing the difficulty as an inherent normative question concerning the Court’s authority, these theorists view the CM difficulty as a question concerning the Court’s actual performance.\textsuperscript{291} As long as the Court’s decisions correspond to public opinion, no difficulty exists. Thus, the debate over democratic legitimacy is focused not on the justifications for the institution’s decisions but on the way public opinion responds to highly-salient decisions taken by the institution.\textsuperscript{292}

Fifty years ago, Alexander Bickel identified the dangers lurking for a Court that capitulates to public opinion. In response, he suggested the device of “passive virtues” that enables the Court to avoid the most volatile controversies. Today, in view of the current focus on the literal CM difficulty, other techniques, such as “stealth overruling” of highly salient precedents, are

---


\textsuperscript{288} \textit{BRYCE, supra note 86, at 267, 923 (“[P]ublic opinion stands out, in the United States, as the great source of power, the master of servants who tremble before it.”)}; see also Jeff Manza, Fay Lomax Cook & Benjamin I. Page, \textit{Navigating Public Opinion: An Introduction}, in \textit{NAVIGATING PUBLIC OPINION: POLLS, POLICY, AND THE FUTURE OF AMERICAN DEMOCRACY} 3, 4 (Jeff Manza, Fay Lomax Cook & Benjamin I. Page eds., 2002) (surveying commentators that criticize the development of “poll driven leadership”).


\textsuperscript{290} See, e.g., Justin Driver, \textit{The Consensus Constitution}, 89 \textit{TEX. L. REV.} 755, 757 (2011) (“Today’s external legal history is marred by . . . ‘consensus constitutionalism,’ the claim that the Supreme Court interprets the Constitution in a manner that reflects the ‘consensus’ views of the American public.”).

\textsuperscript{291} \textit{EDWARD L. RUBIN, BEYOND CAMELOT: RETHINKING POLITICS AND LAW FOR THE MODERN STATE} 173 (2005) (“[Q]uestions about the origins or constitution of the state tend to fade from view, to be replaced with questions about its day-to-day performance.”).

\textsuperscript{292} \textit{id. at 174} (arguing that currently the debate over legitimacy is conducted in terms of performance rather than origins).
surfacing. Indeed, in a sense the shift in scholarly emphasis from the traditional difficulty to the literal difficulty is merely a shift from the first part of *The Least Dangerous Branch* to the book’s second part.